

# Non-abelian symmetries: global

**Motivation** (reminder!): in order to transition from QED [massless,  $U(1)$ , gauge boson] to full SM, we need two extensions/generalizations:

(i) renormalizable theory of **massive** gauge boson [for weak (nuclear) force, with short range vs. long in QED]: (gauged) SSB / Higgs mechanism (2<sup>nd</sup> QFT topic) and

(ii). Non-abelian gauge theory: needed to describe (a) strong (nuclear) force, where coupling binding partons (constituents of hadrons) becomes weaker at higher energies (cf. IR-free in QED); due to self-interactions of gauge bosons in vacuum polarization ... and

(b) weak (nuclear) force:  $W^+$  couples  $\mu, e^-$  to  $\nu_\mu, \nu_e$  (i.e., off-diagonally vs. QED)...  
... again, **independent** of above "applications", non-abelian gauge (Yang-Mills) theory is a robust, fascinating possibility within QFT...

- So, 3<sup>rd</sup> QFT topic is to first generalize global, internal symmetries from  $U(1)$  to  $SU(n)$ .  
note internal implies transformation involves fields at same space-time point (i.e., do not touch  $x_\mu$  or Dirac index of  $\psi_\alpha$ ...) vs space-time symmetries connect fields at different space-time points (mix-up Dirac index...), e.g., Lorentz transformation; global stands for transformation parameters being space-time independent...

... then gauge these "new" symmetries (promote parameters to be space-time derivatives, coupling gauge fields to matter...)

Outline for non-abelian global symmetries:

- warm-up: from  $U(1)$  to  $SU(n)$ ...
- elementary group theory [including  $SO(n)$ ...]
- SSB of non-abelian (global) symmetries (NGB's...): a bit more complicated than  $U(1)$ , e.g., part of symmetry unbroken (use multiple scalar field discussion)

# From $U(1)$ to $U(2) \dots SU(n)$

- Symmetry/invariance under global phase rotation (including interactions, even if not gauge), e.g.,

$$(1). \mathcal{L}_{\psi, \phi} \stackrel{\text{real}}{=} \bar{\psi} (i \not{\partial} - m) \psi + \partial_{\mu} \phi \partial^{\mu} \phi + \underbrace{\bar{\psi} \psi \phi}_{\text{Yukawa coupling}} h$$

is invariant under

$$\phi \rightarrow \phi \text{ (unchanged); } \psi_{\alpha=1 \dots 4} \rightarrow \psi_{\alpha} e^{i\theta}$$

(Dirac index)  $\uparrow$  untouched since internal symmetry

spacetime-independent (since global)

$$(2). \mathcal{L}_{\underline{\Phi}} \stackrel{\text{complex}}{=} (\partial_{\mu} \underline{\Phi})^{\dagger} (\partial^{\mu} \underline{\Phi}) - \mu^2 \underline{\Phi}^{\dagger} \underline{\Phi} - \lambda (\underline{\Phi}^{\dagger} \underline{\Phi})^2,$$

quartic (self-)coupling

$$\text{with } \underline{\Phi} \rightarrow e^{i\theta} \underline{\Phi}$$

... called abelian or  $U(1)$  symmetry, since transformation,  $e^{i\theta}$ , is  $1 \times 1$  "matrix", which is unitary, i.e.,  $(e^{i\theta})^{\dagger} (e^{i\theta}) = 1$ :  
 $\infty$  number of transformations, parametrized by single (continuous, real) parameter ( $\theta$ )

- Aside: two different matrix spaces/structures: internal (trivial above, since only 1  $\psi$ , cf. below)

vs. Dirac space (which is non-trivial above, but not focus here, i.e., for internal symmetry it goes along for ride as above): anyway, for sake of completeness,

$$(\bar{\Psi})_{\beta} \text{ ("row")} \equiv (\Psi^{\dagger})_{\alpha} (\gamma_0)_{\alpha\beta} \quad (\Psi_{\beta} \text{ is column});$$

$$\bar{\Psi} \gamma^{\mu} \Psi = (\Psi^{\dagger})_{\alpha} (\gamma_0)_{\alpha\beta} (\gamma^{\mu})_{\beta\delta} \Psi_{\delta} \text{ etc...}$$

(not shown explicitly in above  $\mathcal{L}$ ...)

⇒ these 2 spaces/structures are "independent/commuting"

— onto 2 fermions (free for now) with different masses (in general):

$$\mathcal{L}_{\psi_i} = \sum_{i=1,2} \bar{\Psi}_i (i \not{\partial} - m_i) \Psi_i$$

— notation: "uppercase"  $\Psi$  for "doublet" (in internal space):  $\bar{\Psi}_{(\alpha)} = \begin{bmatrix} (\Psi_1)_{(\alpha)} \\ (\Psi_2)_{(\alpha)} \end{bmatrix}$

so that suppressing Dirac structure:

$$\mathcal{L}_{\Psi} = \bar{\Psi} \left[ i \not{\partial} \underbrace{\mathbb{1}_{2 \times 2}}_{\text{internal/doublet space}} - \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix} \right] \Psi$$

- symmetry is  $U(1)_1 \times U(1)_2$ , i.e., separate phase rotations on  $\psi_{1,2}$

Special case:  $m_1 = m_2$  so that ("dropping" double-space structure also):

$\mathcal{L}_\Psi = \bar{\Psi} (i \not{\partial} - m) \Psi$ , where (again)

$$\bar{\Psi} \Psi = (\bar{\Psi}_1, \bar{\Psi}_2) \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = (\psi_1^\dagger \gamma_0, \underbrace{\psi_2^\dagger \gamma_0}_{\text{row in Dirac space}}) \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

$$= \bar{\Psi}_1 \psi_1 + \bar{\Psi}_2 \psi_2$$

$$(= \psi_1^\dagger \gamma_0 \psi_1 + \psi_2^\dagger \gamma_0 \psi_2)$$

(but "suppressed" here)

= (spelled out, including summation over

repeated indices)

$$\sum_i \sum_\alpha \sum_\beta \bar{\Psi}_{i\alpha} (\gamma_0)_{\alpha\beta} \Psi_{i\beta}$$

doublet/internal space
Dirac space

- Above  $\mathcal{L}$  invariant mixing  $(\psi_1)_\alpha$

with  $(\psi_2)_\alpha$ : "1,2" here refer to

doublet/internal space, i.e., rotation in doublet/internal space, with Dirac index  $\alpha$  "staying put",

cf. Lorentz (space-time vs. internal above)  
transformation rotates  $(\psi_1)_\alpha$  into  
 $(\psi_1)_\beta$  (i.e., does not touch doublet  
space:  $\psi_{1,2}$  transform independently)

— Mathematically,  $\mathcal{L}_\psi$  invariant under  
 $U(2)$  [ $2 \times 2$  unitary matrix] transformation:

$$\psi' \text{ (new)} = U_{2 \times 2} \psi, \quad U^\dagger U = \mathbb{1}_{2 \times 2}$$

— In general, with  $n$   $\psi$ 's of same mass,  
we have  $U(n)$  symmetry, with

$$U_{n \times n} = \exp\left[-i \sum_a \beta_a (T_{n \times n}^a)\right], \text{ where}$$

$$\exp(A\text{-matrix}) \equiv \mathbb{1} + A + \frac{A^2}{2!} + \dots + \frac{A^n}{n!} + \dots;$$

$\beta_a$  are real parameters and  $T_{n \times n}^a$

are hermitian, so that  $U^\dagger = \exp(+i \beta_a T^a)$ ,

$$\text{giving } U^\dagger U = \mathbb{1}$$

— Now,  $(n \times n)$  complex matrix has  $2n^2$   
real parameters, but hermitian

condition reduces that to  $n^2$ , since diagonal elements of  $T^a$  are real, while (appropriate) off-diagonal elements are complex conjugates of each other

$\Rightarrow a = 1, 2 \dots n^2$  ( $T^a$ 's are called generators of this fundamental representation: more on this group theory later, including other representations)

- e.g., for  $n=2$ , we get  $T^a = \mathbb{1}, \bar{\sigma}$ , where  $\bar{\sigma} = \sigma_{1,2,3}$  are Pauli matrices

- In general, choose  $T^a = \mathbb{1}_{n \times n}$ , so that other (all independent)

$T^a$ 's,  $a=1, 2 \dots (n^2-1)$  are traceless

$\Rightarrow U_{n \times n} = e^{-i \beta n^2 \mathbb{1}} \times \tilde{U}_{n \times n}$ , where

$$\tilde{U}_{n \times n} = \exp \left[ -i \sum_{a=1}^{n^2-1} \beta_a T^a \right]$$

traceless

- Using (schematically)  $\det e^A \sim e^{\text{tr} A}$ ,

we see that  $\tilde{U}$  have determinant  $\mathbb{1}$ ,  
so this subgroup of transformations  
are called  $SU(n)$ , where "S" denotes  
special / determinant = 1

- Clearly, the 1<sup>st</sup> part of  $U$ , i.e.,  
 $\exp(-i \beta_{n^2}) \mathbb{1}$  is a  $U(1)$  transformation  
[multiplies all  $\psi$ 's by same phase]

- So, schematically,  $U(n) = SU(n) \times U(1)$

[e.g.,  $SU(2)$  "generated" by  $\sigma_{1,2,3}$ ]

- Even more special case with  $n$   $\psi$ 's:

$m(\text{common}) = 0 \Rightarrow$  symmetry enlarged to

$U(n)_L \times U(n)_R$ , i.e., separate rotations on  
 $L, R$  chiralities (since only mass term  
"connects" them)

- We can also include (rather trivially)  
interactions which are  $SU(n)$  invariant, e.g.



$$(1). \mathcal{L}_{\text{Yukawa}} = \sum_{i,j} h_{ij} \bar{\psi}_i \psi_j \phi = \bar{\psi} h \psi \phi$$

$\hookrightarrow$  matrix

where  $\phi$  is real scalar, not transforming under  $U(n)$ : for arbitrary  $h_{ij}$ , this coupling is not  $U(n)$ -invariant, but it is  $U(n)$  invariant if we choose  $h_{ij} = h \delta_{ij}$  ( $h \propto \mathbb{1}$ )

(2). All  $n$   $\psi$ 's have electric charge  $+1$  (couple identically to photon):

$\partial \mathbb{1}$  of earlier  $\rightarrow (\partial + ie\mathbb{1}) \mathbb{1}$ , i.e.,

$SU(n)$  is still global symmetry, but  $U(1)$  part of  $U(n)$  is gauged (local symmetry), with  $A_\mu$  (photon) being  $SU(n)$ -invariant (like  $\phi$ ), but of course  $A_\mu$  transforms (inhomogeneously) under local  $U(1)$ ...

... onto more non-trivial [ $U(n)$ -invariant] interactions, i.e., where  $\psi$ 's couple to a field which is not a "singlet": it transforms under this symmetry