

Spontaneous Symmetry Breaking (SSB):

case of global (vs. gauged / local later) symmetry, with 2 sub-cases:

discrete and continuous

Outline for global SSB:

- simplest model is discrete symmetry, illustrates the following **general** features: Lagrangian has symmetry, but not realized in physically, i.e., vacuum / ground state does not respect it.
- relatedly vacuum state is not unique (there's **degeneracy**): **non-vanishing** quantity (order parameter) characterizes necessary choice
- ... however, symmetry is still "hidden" interactions display a "remnant" effect of symmetry (again, this is not **apparent / manifest / obvious**)

— Onto, continuous (global) symmetry:
(new feature) massless field/particle
called Nambu-Goldstone boson (NGB),
which is derivatively-coupled

Discrete global SSB model [only
real scalar field (ϕ)]

$$\mathcal{L} = \underbrace{\frac{1}{2} (\partial^\mu \phi \partial_\mu \phi)}_{K(\text{kinetic}): \text{derivative part}} - \underbrace{\left(\frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{4} \phi^4 \right)}_{V(\text{potential}): \text{non-derivative}}$$

[K, V in analogy to classical mechanics,
even though here it's **not** that
force = $-\partial V / \partial x$ etc.!]]

— Discrete (\mathbb{Z}_2) symmetry: $\phi \rightarrow -\phi$ (due
to **no** ϕ^1 or ϕ^3 term in \mathcal{L})
(**no** infinitesimal version)

(More physical meaning - in terms of
spin-0/scalar **particles** upon quantization)

- Above \mathcal{L} gives Hamiltonian:

$$\mathcal{H} = \frac{1}{2} \left[\pi^2 + (\bar{\nabla} \phi)^2 \right] + V(\phi), \text{ where}$$

$\pi = \dot{\phi} \left(\equiv \partial \phi / \partial t \right)$ is canonical momentum conjugate to ϕ

Classical - level analysis first [think of ϕ as background/classical field (like \bar{E}, \bar{B})] : what $\phi(\bar{x}, t)$ will minimize above \mathcal{H} / energy (i.e., ground state)?
Since $(\bar{\nabla} \phi)^2, (\pi = \dot{\phi})^2 \geq 0$, it's clear that we should choose $\phi = \text{constant}(\bar{x}, t$ independent): Poincare-invariant

And, determine this ϕ by minimizing $V(\phi)$:

$$dV/d\phi = \phi(\mu^2 + \lambda\phi^2) = 0 \text{ and}$$

$$d^2V/d\phi^2 = \mu^2 + 3\lambda\phi^2 > 0$$

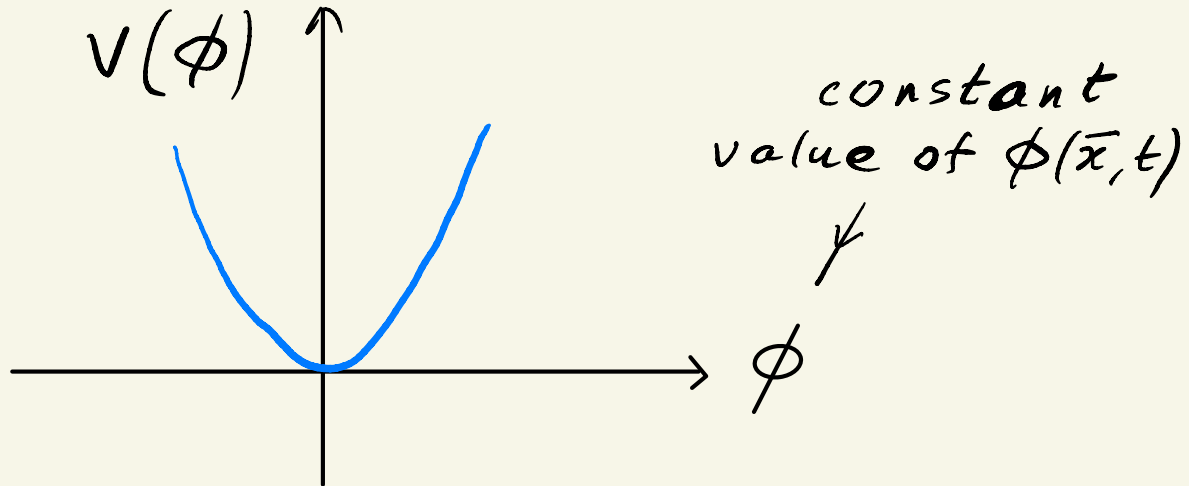
\Rightarrow 2 cases, depending on sign of μ^2 (mass term): (i) $\mu^2 > 0$ & (ii) $\mu^2 < 0$

What about sign of λ (quartic "coupling")?

For large ϕ , $\lambda \phi^4$ dominates \Rightarrow for energy to be bounded from below, choose

$\lambda > 0$ (λ is real for \mathcal{H} to be Hermitian)

(i). $\mu^2 > 0 \Rightarrow \phi = 0$ at minimum (no other extremum)



Symmetry is unbroken in ground

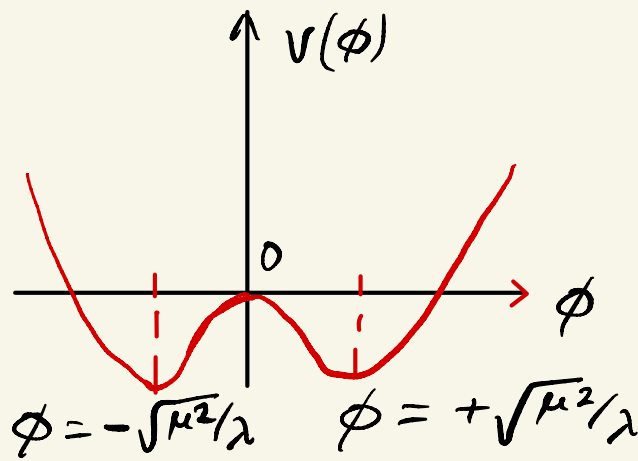
state, e.g., ferromagnet above Curie

temperature: spins randomly oriented

(no preferred direction \Rightarrow 3d rotational symmetry)

(ii) $\mu^2 < 0 \Rightarrow \phi = 0$ is maximum;

$\phi = \pm \sqrt{-\mu^2/\lambda}$ is minimum:



\Rightarrow Ground state is either $\phi = +\sqrt{-\mu^2/\lambda}$ or $\phi = -\sqrt{-\mu^2/\lambda}$ (classically, cannot have a superposition) $\Rightarrow \phi \rightarrow -\phi$ symmetry is broken

— vacuum not unique: non-zero

order parameter is $\pm\sqrt{-\mu^2/\lambda}$, e.g.

ferromagnet below Curie temperature: spins aligned in same direction \Rightarrow 3d rotational symmetry broken in ground state by this selection of direction, but which could be arbitrary (with same energy): degeneracy (infinite, so more like continuous symmetry)

On to quantizing above classical field:

ϕ classical value above $[0$ for $\mu^2 > 0$ & $\pm\sqrt{-\mu^2/\lambda}$ for $\mu^2 < 0]$ is vacuum

expectation value (VEV) of quantum

field/operator: $\langle 0 | \phi | 0 \rangle$ or

just $\langle \phi \rangle$

no particles
in vacuum

quantum
field

(will give more physical meaning to SSB)

(i). $\mu^2 > 0$ case (usual, i.e., as in very introductory QFT): expand ϕ as

$$\phi(x, \mu) \sim \int d^3 p (a e^{-i p \cdot x} + a^\dagger e^{+i p \cdot x})$$

↓
annihilation
operator
(quantization)

↓
creation
operator

(consistent with $\langle 0 | \phi | 0 \rangle = 0$)
↖ a^\dagger "annihilates"
↗ a annihilates...

$\Rightarrow \mu^2 (> 0)$ becomes (physical) mass
of spin-0 / scalar particles (quanta
or excitations of ϕ): energy in

$$\vec{p} \text{ mode} = n \sqrt{\mu^2 + |\vec{p}|^2} \dots$$

↪ (positive) integer:
number of particles

... interacting via $\lambda \phi^4$...

Since $\phi \rightarrow -\phi$ is symmetry of vacuum, we see that a process involving **odd** number of ϕ -particles is **not** allowed

(ii). $\mu^2 < 0$ (SSB): if ϕ expanded as above, then $\langle \phi \rangle = 0$ vs. $\pm \sqrt{-\mu^2/\lambda}$ at minimum ...

... or, (mass)² of particles, $\mu^2 < 0$

(from energy in \bar{p} mode = $n \sqrt{|\bar{p}|^2 - |\mu^2|}$)

- this inconsistency is expected since we expanded ϕ around "wrong" vacuum ($\langle \phi \rangle = 0$, which is unstable)

- So, expand ϕ around minimum:

$\pm \sqrt{-\mu^2/\lambda} \equiv \pm v$: pick **either**

vacuum [aside: at **quantum**-level, cf. classical earlier, can we choose vacuum to be a superposition of $\langle \phi \rangle = \pm v$? For example, a 50-50 admixture could preserve symmetry!

No: see Weinberg section 19.1

(p. 164-165) posted online.]

- Shift field: $\phi(x) = v + \eta(x)$

so that $\langle \eta \rangle = 0$, thus expand it as usual:

$$\eta(x) \sim \int d^3p (a e^{-ip \cdot x} + a^\dagger e^{+ip \cdot x})$$

- Rewrite \mathcal{L} in terms of η (using $\mu^2 = -\lambda v^2$, dropping constant term in \mathcal{L}), i.e., **fluctuations around VEV**:

$$\mathcal{L}_{\text{SSB}} = \frac{1}{2} (\partial^\mu \eta) (\partial_\mu \eta) - \left(+\lambda v^2 \eta^2 + \lambda v \eta^3 + \frac{\lambda}{4} \eta^4 \right)$$

Two features:

(a) $m^2_\eta \equiv 2\lambda v^2 > 0$ (vs. when ϕ expanded around $\langle \phi \rangle = 0$): (only) particles, η , are massive (cf. continuous symmetry case next)

(b) Due to η^3 , processes with odd number of η -particles are allowed, i.e., $\eta \rightarrow -\eta$ symmetry is broken/not realized (at least not manifest (apparent) physically (in interactions) ...)

(c) Remarkably, symmetry still partly survives (i.e., is "hidden") as follows. Compare above \mathcal{L} to the case of explicit breaking, rather, no symmetry at all to begin with:

$$\mathcal{L}_{\text{explicit}} = \frac{1}{2} (\partial_\mu \eta) (\partial^\mu \eta) + M^3 \eta - \mu^2 \eta^2 + \mu' \eta^3 + \lambda/4 \eta^4$$

which looks somewhat similar to \mathcal{L} after SSB, except for crucial difference that SSB case obtained from general \mathcal{L} by (1) setting $m^3 = 0$ and (2) μ' (i.e., coefficient of η^3 : cubic coupling) is related to η^2 (mass term) and η^4 (quartic coupling): again 4 (independent) parameters (m', μ', μ^2 & λ) in \mathcal{L} explicit vs. only 2 (λ and μ^2 or m_η^2 & λ) in \mathcal{L}_{SSB} (so, "remnant" symmetry in the form of relation between cubic coupling and mass, quartic...)

— Keep in mind above as general theme of SSB, i.e., "gentle/controlled" breaking of symmetry vs. explicit,

more general : e.g., ultimate goal
of SSB is to generate gauge boson
mass, where its "survival" of
renormalizability which is crucial
(cf. explicit breaking of gauge
symmetry by bare mass term
does not retain this feature