

# Non-abelian gauge theories (quantum) ①

- Bottomline (but takes a while to get there!): contribution to vacuum polarization (gauge boson self-energy) from gauge loops (in turn, due to self-interactions of gauge bosons already seen in classical case from  $\mathcal{L}_{\text{pure gauge}}$ ) tend to make theory asymptotically free, i.e., gauge coupling becomes smaller in the UV (higher energies): this is the new feature of non-abelian gauge theories. Matter contributions (at 1-loop level) are IR-free (just like for abelian case)

- Outline of quantization procedure: canonical approach is cumbersome <sup>here</sup> for 2 reasons, i.e., (1) interactions involve derivatives necessarily (again, in gauge boson self-couplings: dictated by gauge invariance). [Note that such couplings can arise even in abelian gauge theories, e.g., gauge couplings of a scalar field, but in a sense they were "optional"!]

- This issue is relatively easy to spot, and its solution is also "intuitive" (as we will see)

(2) special for non-abelian case is "difficulty" in maintaining gauge invariance when computing

diagrams involving loops of gauge bosons (again, coming from self-interactions). (2)

— However, this problem is rather unexpected from get-go (cf. one above) and its solution is also not so straightforward (see more details below)

— So, Feynman's path/functional integral is better suited for quantizing non-abelian gauge theories. However, studying this is sort of beyond scope of this course (it's possible that Phys 851 might cover it)

— so, here we will follow the approach of simply presenting the Feynman rules resulting from path integral (PI) formalism, making them "plausible" using canonical approach (it turns out that some—but not all—such educated guesswork is correct in the end, i.e., justified rigorously/a posteriori by PI approach)

— In particular, we will encounter 2 sets of unphysical states in this process, i.e.,

(a) scalar/time-like and longitudinal polarizations of gauge bosons: these are "needed" for maintaining manifest Lorentz invariance (LI), just like in the case of QED, i.e., abelian gauge theory

(b) "ghost" states (with "wrong" spin vs. statistics) maintain gauge invariance <sup>(GI)</sup>: this is really a "like" negative norm for unphysical polarizations

feature of non-abelian gauge theory (it is <sup>③</sup> related to problem (2) mentioned above with canonical approach)

- Both these sets of states cannot appear as external lines, but again, enter as virtual states/internal lines, helping to keep LI & GI, respectively

- With above introduction, <sup>1 word</sup> let's dig into details/formulae

- Recall that

$$\mathcal{L}_{\text{pure gauge}} = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu}, \text{ with } F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f_{abc} A_\mu^b A_\nu^c$$

contains a " $(\partial A)A^2$ " term, i.e., derivative interactions

- Why is this a "problem" for canonical quantization?

Note that in perturbation theory, we first quantize the "free" part (i.e., quadratic in fields) of Lagrangian, treating rest of terms as interactions

- So, assuming there are no derivatives in interactions part, then the canonical/conjugate momentum,

$\pi = \partial(\mathcal{L}_{\text{free}} + \mathcal{L}_{\text{int}}) / \partial \dot{\phi}$  is not modified (vs. free case) by interactions [i.e., equal-time (anti-) commutation relations are same as free case]

$$\Rightarrow \boxed{\text{Hamiltonian}}, \mathcal{H} = \pi \dot{\phi} - (\mathcal{L}_{\text{free}} + \mathcal{L}_{\text{int}})$$

$$\equiv \mathcal{H}_{\text{free}} + \mathcal{H}_{\text{int}}, \quad \rightarrow (\text{again}) \partial \mathcal{L}_{\text{free}} / \partial \dot{\phi}$$

$= -\mathcal{L}_{\text{int}}$  in this case (that's crucial here)

- Recall that  $\mathcal{H}_{\text{int}}$  ( $= -\mathcal{L}_{\text{int}}$  here) determines S-matrix elements

- However, (if) instead  $\mathcal{L}_{int}$  contains derivatives (as in the case of non-abelian gauge theory), then (at least naively)  $\Pi$  is modified relative to  $\Pi_{free}$  (due to  $\partial \mathcal{L}_{int} / \partial \dot{\phi}$  contribution). Then  $\mathcal{H}_{int} \neq -\mathcal{L}_{int} \text{?!}$

- It turns out (as seen from [PI] approach) that even in this case, we actually <sup>(effectively)</sup> have  $\mathcal{H}_{int} = -\mathcal{L}_{int}$  (again, this is valid for other theories with derivative couplings, e.g., scalar QED)  $\Rightarrow$  Feynman rule for derivative interaction is obtained "as usual" from  $\mathcal{L}_{int}$ , i.e.,  $\partial_{\mu} - p_{\mu}$  (momentum) etc. [as we mentioned for NGB couplings in radial representation in HW 3.4]   
 for non-abelian case

- So, issue (1) mentioned in outline is taken care of, i.e., free  $\mathcal{L}$  is quadratic part of  $\mathcal{L}_{pure\ gauge}$  (with tri-linear & quartic pieces being interactions) and this part is same as  $\mathcal{L}_{free}$  for  $U(1)$ , apart from index "a" on  $A_{\mu} \dots$    
 problem / gauge field   
  $\dots$  which leads to another issue in quantization, well-known from photon/QED (so it wasn't really listed in <sup>above</sup> outline). Namely,  $A_{\mu}$  (for each "a") has only 2 physical polarizations (transverse), but quantizing only these results in loss of manifest

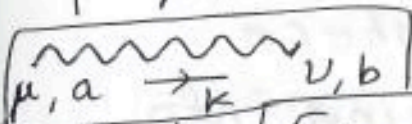
LI, i.e., instantaneous Coulomb<sup>-type</sup> interaction = (5)  
 (not from exchange of photons) has to be then  
 treated as classical potential (see, for example,  
 Mandl, Shaw page 81)

- So, it's more convenient (from LI viewpoint) to  
 treat all 4 components/polarizations of gauge  
 field similarly (i.e., quantize all 4), but then  
 "gauge-fix", i.e., impose subsidiary condition (or  
 suitable constraint) in order to handle this  
 "redundancy" in d.o.f.

- In particular, the extra, unphysical polarizations  
 (scalar / time-like & longitudinal) are dynamical,  
 but only propagate as internal / virtual lines  
 in Feynman diagrams, i.e., are "forced" to not  
 be external lines (again, we do this also in  
 QED)

- So with addition of  $\mathcal{L}_{\text{gauge-fixing}} = -\frac{1}{2(\xi)} (\partial_\mu A^\mu)^2$   
 we get propagator (assigned to  
 internal line) "new" for non-  
 abelian

$$D_{\mu\nu}^{ab}(k) = -\frac{\delta^{ab}}{k^2 + i\epsilon} \left[ g_{\mu\nu} - (1-\xi) \frac{k_\mu k_\nu}{k^2} \right]$$



- Onto Feynman rules for self-coupling of  
gauge bosons

We have  $\mathcal{L}_{\text{pure gauge}} = -\frac{1}{4} F_{\mu\nu}^a F^{\mu\nu}_a$   
 $= -\frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a - gf_{abc} A_\mu^b A_\nu^c) (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a - gf_{ade} A_\mu^d A_\nu^e)$   
 $\rightarrow$  different dummy indices

$$= -\frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a)^2 \quad \text{(free part, giving } \textcircled{6} \text{ above propagator, after gauge-fixing)}$$

$$+ \frac{1}{2} g f_{abc} A_b^\mu A_c^\nu (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) \quad \text{[cubic/tri-linear interactions]}$$

$$- \frac{1}{4} g^2 f_{abc} f_{ade} A_\mu^b A_\nu^c A_d^\mu A_e^\nu \quad \text{(quartic coupling)}$$

Note: there are no  $A_a^3$  or  $A_a^4$  (@fixed) interactions due to antisymmetry of  $f_{abc}, f_{ade}$  [in this sense, it is kind of like photon in QED, ie, gauge boson of given index<sup>(a)</sup> doesn't interact with itself].

— Let's <sup>see how to</sup> derive Feynman rule for cubic/tri-linear vertex (HW 7.3 deals with quartic coupling) 2<sup>nd</sup> term on RHS of Eq. 1 note single term

— For this purpose, rewrite  $\mathcal{L}$  as

$$\mathcal{L}_{\text{pure gauge, cubic}} = g f_{a'b'c'} A_{b'}^\alpha A_{c'}^\beta (\partial_\alpha A_\beta^{a'}) \quad \dots (2)$$

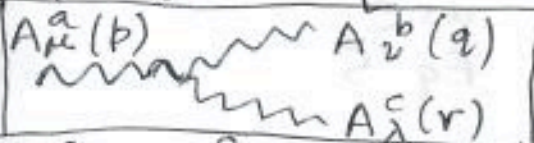
no "1/2" using antisymmetry of  $f$ 's

— Suppose we want Feynman rule for interaction of  $A_\mu^a(p)$ ;  $A_\nu^b(q)$  and  $A_\lambda^c(r)$ , where  $p, q, r$  are respective momenta going into vertex, i.e., gauge bosons with these momenta are being annihilated

— Claim: apart from polarization vectors ( $\epsilon_\mu$  etc.) for external lines [or  $D_\mu^{a..}$  for

internal line], we assign to this vertex: (7)

$$-g f_{abc} [(q-r)_\mu g_{\nu\lambda} + (r-p)_\nu g_{\lambda\mu} + (p-q)_\lambda g_{\mu\nu}]$$



... (3)

Proof: we show that 2<sup>nd</sup> term in Eq. 3 field

originates from using  $A_{b'}^\alpha$  in Eq. 2 ( $\mathcal{L}_{cubic}$ )

in order to annihilate  $A_\nu^b(q)$  gauge boson/particle

[other 2 terms in Eq. 3 follow from remaining 2 possibilities for annihilating  $A_\nu^b(q)$ , i.e.,

using instead  $A_{c'}^\beta$  or  $A_\beta^{a'}$  in Eq. 2]

- Clearly, we then match  $b' = b$  &  $\alpha = \nu$  ... (4)

(again) of field in Eq. 2

- We then have 2 ways to annihilate  $A_\mu^a(p)$ : ... (5)

(i) use  $A_{c'}^\beta$  in Eq. 2 so we set  $c' = a$  &  $\beta = \mu$

- It follows that  $A_\lambda^c$  (3<sup>rd</sup> gauge boson/particle)

is then taken care of by  $(\partial_\alpha A_\beta^{a'})$  in Eq. 2,

giving  $a' = c$  &  $\beta = \lambda$  and a momentum

factor of  $-i r_\alpha = -i r_\nu$  (using Eq. 4):

recall that annihilation operator in Fourier expansion of gauge field has  $e^{-i r \cdot x}$  factor,

upon which  $\partial_\alpha$  acts

- So, net factor is:

$$\begin{aligned}
 & \frac{(-i r_\nu)(i)}{\times g_{\lambda\mu}} g f_{(a'=c)(b'=b)(c'=a)} \\
 & \begin{array}{cccc}
 \uparrow & \uparrow & \uparrow & \uparrow \\
 \text{from (i) } \mathcal{L}_{int} \dots & \text{use Eq. 6} & \text{use Eq. 4} & \text{use Eq. 5}
 \end{array}
 \end{aligned}$$

use  $\beta = \mu$  (Eq. 5) and  $\beta = \lambda$  (Eq. 6)

i.e., we get  $+ g_{\lambda\mu} r_\nu f_{cba} = \boxed{-g_{\lambda\mu} r_\nu f_{abc}}$  use antisymmetry of  $f$   
 ... (7)

**(ii)** we can instead use  $\partial_\alpha A_\beta^{a'}$  in Eq. 2 in order to annihilate  $A_\mu^a(p)$ , giving

$a' = a$  &  $\beta = \mu$  and  $\boxed{-i p_\alpha = \nu}$  (again, from  $\partial_\alpha$  acting on  $e^{-i p \cdot x}$ )

- And, use  $A_{c'}^\beta$  in Eq. 2 to annihilate  $A_\lambda^c$ , leading to  $c' = c$  &  $\beta = \lambda$

so that net factor is (skipping some/details)

$$\begin{aligned}
 & (-i p_\nu)(i) g f_{(a'=a)(b'=b)(c'=c)} \times g_{\lambda\mu} \begin{matrix} \beta = \lambda \\ \& \\ \beta = \mu \end{matrix} \\
 & = \boxed{p_\nu g_{\lambda\mu} f_{abc}} \dots (8)
 \end{aligned}$$

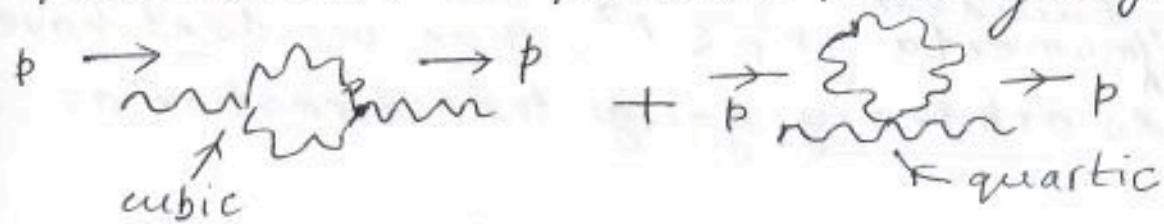
- Combining Eqs. 7, 8 gives

$\boxed{-g_{\lambda\mu} f_{abc}(r-p)_\nu}$  as in 2<sup>nd</sup> term of Eq. 3

[Of course, if momentum is outgoing, i.e., gauge boson is created, then  $\partial$  acts on  $e^{+i p \cdot x}$ . so,  $\boxed{p \rightarrow -p}$  in rule above instead



— "Armed" with these Feynman rules (for propagators and vertices), we calculate vacuum polarization amplitude from gauge loops only: (9)



... when we encounter (rather unexpectedly, cf. derivative interactions issue) reason (2) why canonical quantization "doesn't work", i.e., we don't find the tensor structure "expected" from gauge invariance (generalization of Ward identity discussed for QED to non-abelian case):

$[(p^2 g_{\mu\nu} - p_\mu p_\nu)]$  ... even if we use a regulator for UV divergence which preserves gauge invariance, e.g. DIMREG

— Recall that in QED, we faced a similar situation, i.e., got the superficial quadratic divergence  $[\Lambda^2 g_{\mu\nu}]$  in vacuum polarization amplitude (if we used a (hard) cut-off ( $\Lambda$ ) regularization

— However, the "diagnosis" for above QED case was simply that hard cut-off does not preserve gauge invariance as follows. Recall that under gauge transformation, we have

$$\psi \rightarrow e^{-ieQ\theta(x)}\psi \quad \text{and} \quad A_\mu \rightarrow A_\mu + \partial_\mu\theta(x)$$

So if  $\theta(x)$  contains Fourier (frequency) modes above  $\Lambda$ , then gauge transformation moves low ( $< \Lambda$ ) modes

in original  $\Psi$ ,  $A_\mu$  into modes above cut-off <sup>(10)</sup> in new  $\Psi$ ,  $A$  (which is not supposed to be "allowed"), i.e., if we insist on modes in  $\Psi$ ,  $A_\mu$  having only frequencies / <sup>Euclidean</sup> momenta,  $k_E^2 \leq \Lambda^2$ , then we don't have freedom to do arbitrary gauge transformation as above

- Back to non-abelian vacuum polarization: above digression about hard cut-off usage in QED was just to highlight that we should "hunt" for an underlying "reason" <sup>for</sup> violation of gauge invariance (in turn, not giving right tensor structure) for non-abelian vacuum polarization.
- Indeed, in hindsight (which is always "20/20"!), the following intuitive argument / educated guess (thus not really "proof") might do it. Recall that  $\mathcal{L}_{\text{free}}$  for non-abelian gauge fields (i.e., quadratic in  $A_\mu^a$ ), which is used to obtain spectrum of particles & propagators, is not gauge-invariant by itself. Again,  $(F_{\mu\nu}^a)^2 \sim \underbrace{(\partial A)^2}_{\text{free}} + \underbrace{\partial A A^2 + A^4}_{\text{cubic, quartic}}$  containing  $\mathcal{L}_{\text{free}}$  is gauge-invariant, but we shove part of it into interactions (cubic, quartic in  $A_\mu^a$ ): we "have" to do such a "split" of  $(F_{\mu\nu}^a)^2$  in order to do canonically quantize / do perturbation theory, i.e., we can't treat full (gauge-invariant)  $(F_{\mu\nu}^a)^2$  as free / leading term then ask why not include mass term — In particular, one could for gauge field ( $A^2$ ): it breaks gauge invariance, but so does  $\mathcal{L}_{\text{free}}$  (!?)

— Contrast this non-abelian story with abelian <sup>(1)</sup>  
case:  $F_{\mu\nu}^2$  there is gauge invariant and  
free [i.e., contains only  $(\partial A)^2$ ]; we <sup>can</sup> forbid mass  
term  $(A^2)$  based on gauge invariance etc.

— So, in order to maintain (manifest) gauge  
invariance in each step of perturbation theory,  
we "introduce" extra, unphysical (see below) fields  
called "Faddeev-Popov (FP)" <sup>or</sup> "ghost" fields, denoted  
by  $c_a(x)$  and anti-ghost fields,  $b_a(x)$  [where  
 $a=1 \dots n^2-1$ ] (using Lahiri, Pal notation)

— These ghost fields "look like" scalar fields  
(in adjoint representation of non-abelian group),  
but in fact obey anti-commutation relations  
like fermions!

— So, clearly these ghost fields are unphysical  
(don't contradict usual spin-statistics in this  
sense), i.e., they cannot be external lines in  
Feynman diagrams, but it is "crucial" to include  
them as internal/virtual lines in order to keep  
gauge invariance throughout the process <sup>calculation</sup> [Note  
that a loop of ghost fields will give "-1" just  
like fermions]

[As an aside/reminder, inclusion of unphysical virtual  
states/internal lines as a "trick/book keeping  
device", i.e., for maintaining a principle from  
start to finish, is by now a well-known strategy:

for example, scalar/time-like & longitudinal (unphysical/extra) <sup>photon (massless)</sup> polarizations are introduced in QED in order to have manifest Lorentz invariance (recall scalar/time-like photon polarization has negative norm, akin to "wrong" spin-statistics for ghost fields here).

Similarly, for SSB of gauge theories / Higgs mechanism, i.e., for massive gauge boson, longitudinal polarization is of course also physical, but time-like/scalar is still unphysical: in general/R<sub>ξ</sub> gauge, we "keep" it, along with (would-be) NGB (η), in order to make renormalizability transparent.

Or, at an even more basic level, in regularization procedures, we introduce unphysical parameters, e.g., Pauli-Villars field or going to (4 - 2ε) dimensions etc. ]

— Back to our business: we have

$$\mathcal{L}_{FP}(\text{ghost}) = \partial^\mu b_a \left[ \partial_\mu c_a + g f_{abc} c_b A_\mu^c \right]$$

↙ real ↗  
fields

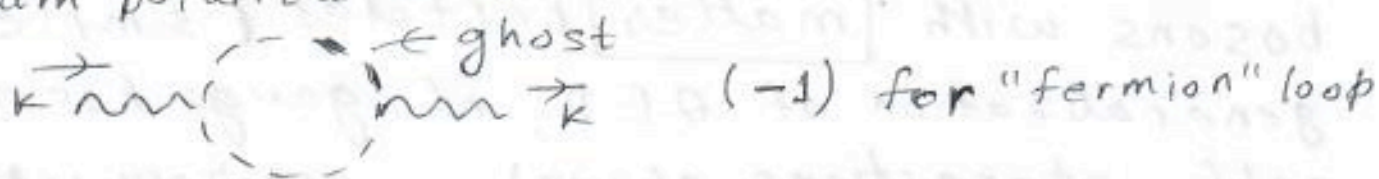
[we can of course re-write c<sub>a</sub>, b<sub>a</sub> as (n<sup>2</sup>-1) complex scalar fields instead], giving Feynman

rules for ghosts:

propagator:  $\overline{b_a} \xrightarrow{k} c_b = i \delta_{ab} / k^2$

gauge boson-(ghost)<sup>2</sup> vertex:  $\left( \begin{array}{c} \sum A_c^\mu \\ p \\ \overline{b_a} \quad c_b \end{array} \right) = g f_{abc} p^\mu$

so that we get the following <sup>(extra)</sup> contribution to vacuum polarization: (13)



— Including above effect does result in tensor structure, i.e.,  $(k^2 g_{\mu\nu} - k_\mu k_\nu)$ , i.e., "restores" gauge invariance.

— Clearly, ghost fields are not relevant for tree-level calculations (since there is no vertex with one ghost line only and they can't be external lines), cf. inclusion of scalar & longitudinal (unphysical) photon polarizations in tree-level diagrams <sub>(as internal lines)</sub> is necessary in order to obtain Coulomb interaction between charged particles ... or, tree-level exchange of (would-be) NGB and scalar polarization of massive gauge boson is needed in  $R_\xi$  gauge etc.

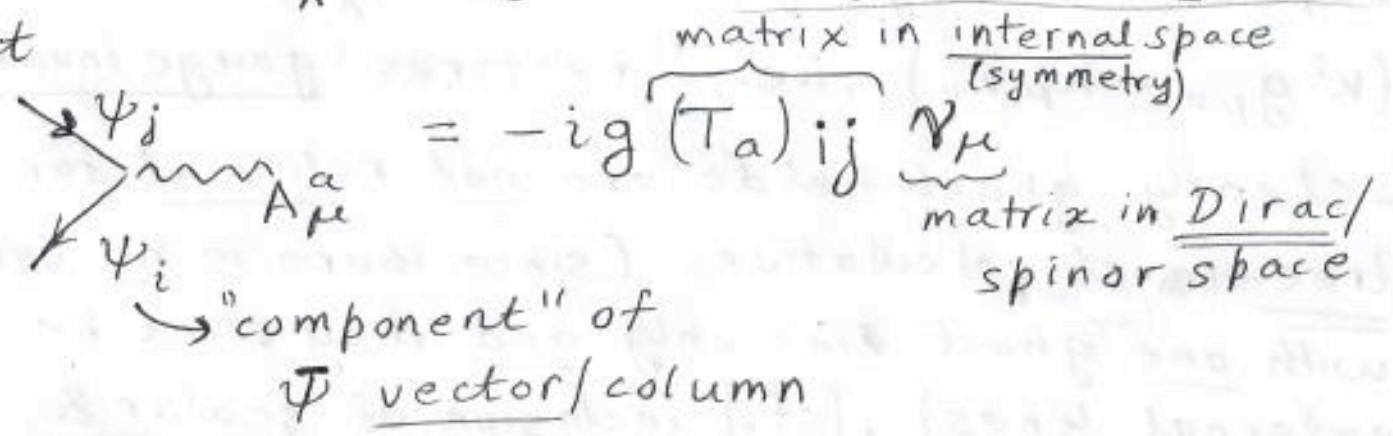
— Also, abelian case can be obtained from non-abelian by simply setting  $f_{abc} = 0$ , so we see that ghost fields in abelian theories do not interact, hence can be neglected.

— Finally, while introduction of ghost fields <sup>above</sup> seems <sup>somewhat</sup> "ad-hoc" (although "justified" by need to maintain gauge invariance), their "appearance" is quite "natural" in PI formalism, which is how all of above is actually derived.

Feynman rules for interactions of gauge

bosons with matter particles (simple generalization of QED, cf. gauge boson self-interactions above)  $\leftarrow$  arbitrary representation for fermions

From  $\mathcal{L}_{int} = -g \bar{\Psi}_i (T^a)_{ij} \gamma^\mu A_\mu^a \Psi_j$ , we get



Basically, modifications relative to QED case are simply that

(i) there is non-trivial matrix structure in internal (symmetry) space, i.e.,  $(T^a)_{ij}$ , so that gauge boson can couple off-diagonally (i.e., to two different  $\Psi$ 's), in addition to diagonally of course. Note that such off-diagonal coupling is needed to describe weak (nuclear) force, e.g.  $[\bar{\mu} - \nu_\mu - W^+]$  vertex [this was another motivation to study non-abelian gauge theories].

(Related to above)

(ii) Coupling depends on which of  $(n^2-1)$  gauge bosons are involved, i.e.,  $T^a$ ; again, some gauge bosons couple diagonally (like photon), while rest  $T^a$ 's are off diagonal

Similarly,  $\mathcal{L}_{int.}$  for scalar fields

(15)

comes from  $(D_\mu \Phi)^\dagger (D^\mu \Phi)$ , where  $D_\mu \Phi = \partial_\mu \Phi + ig T_a A_\mu^a \Phi$ ,

i.e., <sup>(mixed)</sup> cubic & quartic terms:

$$ig (\partial_\mu \Phi^\dagger) (T_a A_a^\mu \Phi) - ig (T_a A_\mu^a \Phi)^\dagger (\partial_\mu \Phi) \\ + g^2 (T_a A_\mu^a \Phi)^\dagger (T_b A_b^\mu \Phi)$$

like in QED, i.e., <sup>cubic</sup> tri-linear (2  $\phi$ 's, 1  $A_\mu$ ) has derivatives, while quartic (2  $\phi$ 's, 2  $A_\mu$ ) does not.

(HW 7.2) derives the corresponding Feynman rules.)