

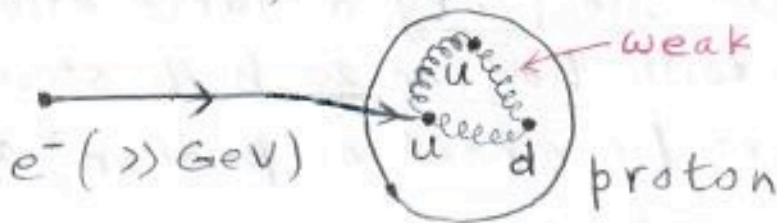
QCD phenomenology: details of 3 processes

(1)

(2). Deep inelastic scattering, i.e., scattering of leptons (electron or neutrino) off of hadrons (proton/neutron in simplest case) at high momentum transfer ($\gg \text{GeV}$)

- As usual, we will begin with the big/rough picture, then slowly get into details: in the lab frame, (usually) hadron₁ ^(say proton) is at rest, with which electron strikes with energy $\gg \text{GeV}$, i.e., $\boxed{1/\text{size}}$ of proton.

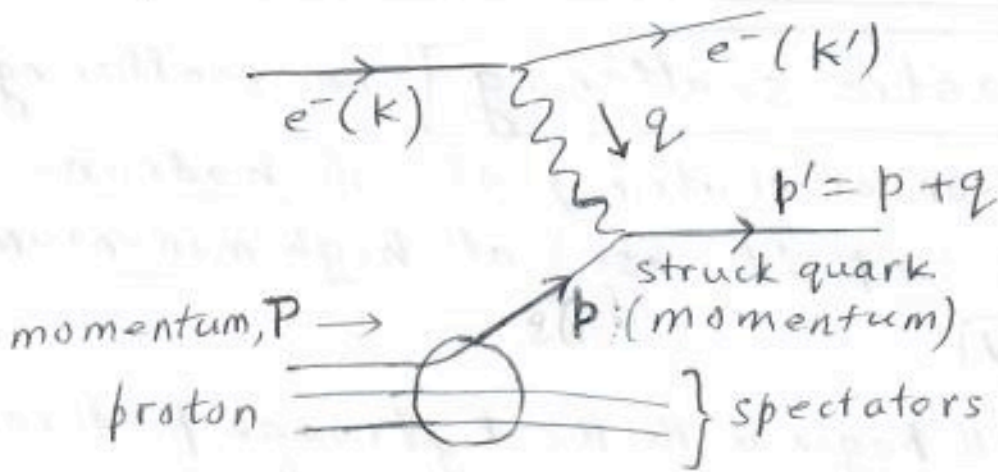
At such ^{high momentum transfer/} short distances (involved in underlying process), (like a "magnifying glass") electron "sees" proton sub-structure, i.e. quarks... inside it:



- Of course, the quarks inside proton interact with each other, ^{by gluon exchange} but due to asymptotic freedom, these couplings are weak at the high momentum transfer between electron and proton

- Hence, to leading order in α_s ($\alpha \gg \text{GeV}$) $\ll 1$, electron-proton scattering (again, at high energy/momentum transfer) is pictured as electron scattering off of a single quark inside proton, with

remaining quarks (& gluons) inside struck proton (2) being "spectators", i.e.,



— Clearly underlying process is then [EW], e.g., photon/ W/Z exchange ... which will get "corrected" (as outlined earlier) by [QCD] effects in 3 ways [where 1st, 2nd are similar to ^{those in} process (1), i.e., $e^+e^- \rightarrow$ all hadrons], i.e., (a) short distance/^{large} energy transfer ($\gg GeV$), ^{perturbative/calculable} will modify probability of electron quark scattering itself; (b) long distance effects/soft gluon exchange will hadronize both struck quark and spectators (remnant of proton) and (c) probability to find quark of momentum p inside proton of momentum P is set also by long-distance (uncalculable) effects

— Let's write down some formulae now! We first note that (momentum transfer, $[q]^2 = (k' - k)^2$)

$$= \underbrace{k^2}_{m_e^2} + \underbrace{k'^2}_{m_e^2} - 2k \cdot k' \stackrel{\text{rest frame}}{=} 2m_e^2 - 2m_e E'_e \quad \boxed{< 0}$$

of incoming electron, i.e., $k_\mu = (m_e, \vec{0})$ energy of outgoing electron $> m_e$

- So, we define $Q^2 = -q^2 > 0$ which requires initial electron energy in lab frame $\gg \text{GeV}$ (3)
- Suppose $Q^2 \gg (\text{GeV})^2$ so that ejected quark has energy/momentum $\sim \sqrt{Q^2} \gg \text{GeV}$ in the lab frame ($\sim p+q$), since its initial energy/momentum $\sim \text{GeV}$, i.e. proton mass/binding energy (again " $p+q$ " $\gg \text{GeV}$, while $p \sim \text{GeV}$)
- The initial, thus also final, energy/momentum of spectator quarks is $\sim \text{GeV}$ (again, in lab frame) ($\leq \text{GeV}$)
- Thus, roughly speaking, soft gluon exchange (which underlies hadronization) between outgoing, struck quark and spectator/remnant quarks cannot "balance" the 2 involved momenta. (Of course, hard gluon exchange can do it, but these effects are suppressed by $\alpha_s (@ \gg \text{GeV}) \ll 1$: we'll return to QCD effects in more detail in a bit.)
- So, it is "unlikely" that ejected quark will hadronize with spectator quarks: instead struck quark will materialize as its own "jet" of hadrons (again, ^{after} emitting soft gluons etc), roughly moving in direction of momentum transfer from electron
- Whereas, spectator quarks will ^(separately) form proton "debris" (of energy/momentum $\sim \text{GeV}$)
- Clearly, proton then "breaks apart": invariant mass of (final) hadronic system [i.e., jet formed by ejected (hadronized) quark and remnant of proton] $\gg \text{GeV}$, hence called (deep) inelastic scattering.

getting
 - For a leading-order formula for this cross-section, it is more convenient to use instead the electron-proton center-of-mass (COM) frame, where both electron and proton are moving rapidly towards each other

- Now, COM energy, $\sqrt{s} = \sqrt{(k + P)^2} = \sqrt{k^2 + P^2 + 2k \cdot P}$
 $= \sqrt{m_e^2 + m_p^2 + 2m_p E_e} \approx \sqrt{2m_p E_e}$ in lab frame,

$\gg \text{GeV}$ if $E_e \gg \text{GeV}$ this is $2E_e m_p$

which we ~~we~~ ^{will} assume henceforth. / since $P_\mu = (m_p, \vec{0})$ / incoming electron energy

- Thus proton has light-like momentum along collision direction, $P^2 \approx 0$ (again compared to s)

- Now, constituents of proton can only acquire a large ($\gg \text{GeV}$) momentum transverse to collision (proton direction) by emitting/exchanging hard gluon, but that effect is $\alpha_s [(\text{GeV}) \ll 1]$ - suppressed

- So, @ $O[\alpha_s^0]$, we can set p (initial momentum of struck quark) to be collinear with P (proton momentum, i.e., $|p \parallel P|$ or

$p_\mu = \xi P_\mu$, where $\xi \in [0, 1]$ is called

longitudinal fraction of constituent: clearly

$p^2 \approx 0$ (vs. s). ^{Note that} we'll return to $O[\alpha_s]$ effects later

including those ^(as just above) giving constituents a transverse "kick" and (hard) gluon exchanges (between ejected quark & debris etc.)

(hard) gluon emission from ejected quark; ^{finally,} virtual gluon exchange between initial & final struck quark (see picture below) [as argued ^{earlier} for process (1)]

- Just as a reminder, virtuality of (say) photon exchanged between electron and quark is $Q^2 \gg (\text{GeV})^2$, so time scale of underlying process $\ll 1/\text{GeV}$.

Hence, only such hard gluon exchanges - which can operate on similar time scale - can modify the underlying probability of scattering, i.e., soft ($\sim \text{GeV}$) gluon exchanges are too "slow" in this regard

- Then, we can write longitudinal fraction of constituent

$$\sigma(e^- p \rightarrow e^- X) = \int d\xi \left[\sigma(e^- q \rightarrow e^- q) \text{ for quark with given } \xi \right] \times \text{can be (in general)}$$

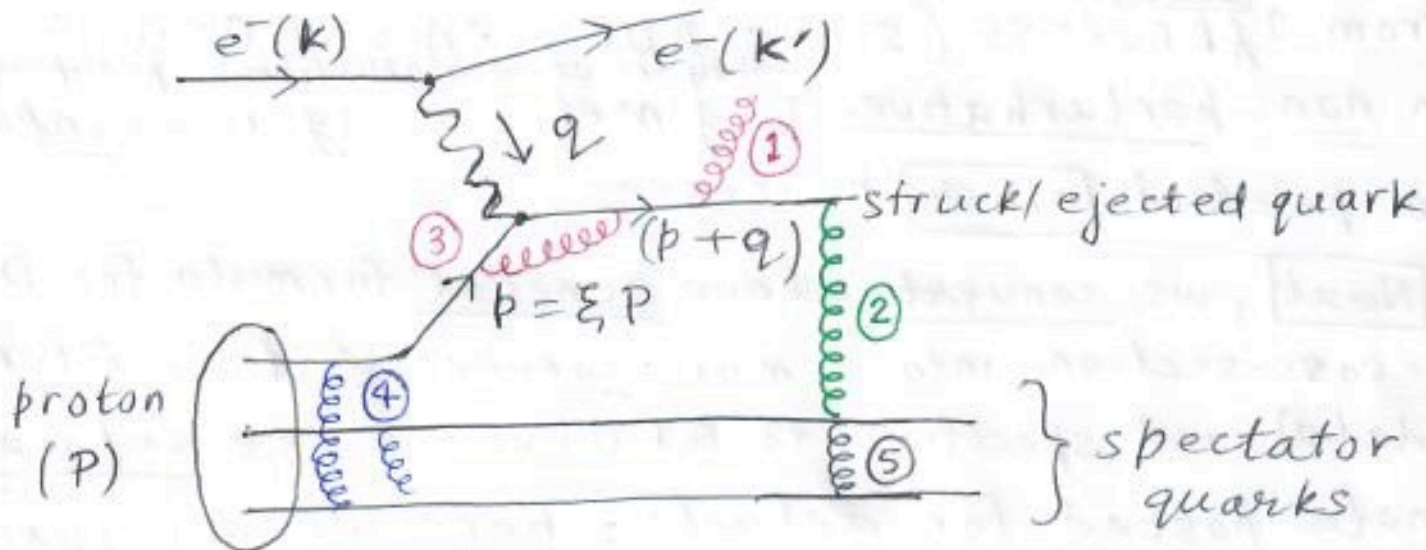
$$\times \left[\text{probability to find quark with } \xi \right] \text{ from photon or } Z \text{ exchange}$$

- However, the above probability is an exclusive (hadronic) quantity, determined by soft ($\leq \text{GeV}$) gluon exchanges which bind quarks into proton: again, this is for a specific quark and given ξ [cf. integrals of which might be constrained / fixed, as we will see below].

- Clearly, this probability cannot be computed in QCD perturbation theory: it has to be determined ^(input) from other experiments, i.e., once it is obtained from 1 experiment, it can be used to make Other predictions, since it is the same for all processes

modify **PDFs** (i.e., give partons a large component of transverse-to-proton direction (momentum) and electron-quark (underlying) scattering cross-section).

- And, **soft** gluon exchanges are involved in **hadronization**: schematically, all these gluon exchanges can be picturized as in



i.e., $\sigma [e^-(k) + p(P) \rightarrow e^-(k') + X]$, with **QCD** correction

$$= \sum_{f=u,d,\dots} \int d\xi f_f(\xi) \left[1 + \mathcal{O}\left(\frac{\alpha_s}{\pi}\right) \right] \times 1$$

Annotations:
 - $f_f(\xi)$: leading-order PDF
 - $\mathcal{O}(\alpha_s/\pi)$: hard momentum transfer $= k - k'$
 - $\times 1$: hadronization probability for ejected/struck & spectator quarks (1, 2, 5) soft

$$\sigma [e^-(k) q_f(\xi P) \rightarrow e^-(k') q_f(p' = \xi P + q)] \left[1 + \mathcal{O}\left(\frac{\alpha_s}{\pi}\right) \right]$$

Annotations:
 - $\mathcal{O}(\alpha_s/\pi)$: hard (1, 3, 2)

no $\mathcal{O}(\alpha_s)$ here, i.e., (purely) EW explicitly

- Again, **hard** gluon exchange/emission is "fast", hence enters cross-section as above; while **soft** gluon contributions are slow, hence appear more implicitly, i.e., as hadronization probability of 1 & **PDFs**

- Other processes with proton involving high $\textcircled{8}$ momentum transfer have similar parton model descriptions, i.e., in QCD at high $Q^2 \ll (\text{GeV})^2$, we start with scattering of quarks, gluons... inside proton, whose "initial motion/state" is described by same $f_f(\xi)$ [PDF's] as above in DIS: again, the idea then is to extract PDF's from 1 ^{data on} process (since PDF's encode QCD effects in non-perturbative regime they are uncalculable from 1st principles), using it as input to predict other processes.

- Next, we convert above general formula for DIS cross-section into a more convenient (for fitting to data) and specific (to QED) one: see separate note posted for details; here we will just summarize:

$$\frac{d\sigma}{dx dy} (e^- p \rightarrow e^- X, \text{ via only } \underline{\text{photon}} \text{ exchange}) = \sum_f \frac{2\pi\alpha^2 s}{Q^4} \left[x f_f(x) Q_f^2 \right] \left[1 + (1-y)^2 \right], \quad \begin{matrix} f = \\ u, \bar{u} \\ d, \bar{d} \end{matrix} \left. \begin{matrix} \text{quarks} \\ \text{and} \\ \text{antiquarks} \end{matrix} \right\}$$

where $Q^2 = -(k-k')^2 = \textcircled{x} y s$; s is the electron-proton COM energy and $\textcircled{y} = 2 P \cdot (k' - k) / s$.
(initial & final electron momentum)

- Clearly, \underline{k} , \underline{k}' (and \underline{P} (initial momentum of proton)) are measured directly; so Q^2 , x , y and $s = (P+k)^2$ entering above cross-section formula are all observable quantities. [Note that $\int d\xi \dots$ has "disappeared", which is part of usefulness of above form of σ .

Deep inelastic neutrino scattering

- We saw above that ^{SM prediction for} cross-section of electron scattering off of proton depends on a certain combination of PDF's, thus can be used to extract it from data
- Clearly, we need another measurement in order to fix individual PDF's, i.e., cross-section for a different process which depends on a different combination of PDF's
- DI neutrino (ν) scattering provides such a measurement

- DI νS will also nicely illustrate "interplay" of EW and QCD physics

- If we assume, $(\text{GeV})^2 \ll Q^2$ [i.e., (\rightarrow) (momentum transfer)²] $\ll M_W^2$, then we can (as usual) describe the underlying process, i.e., ν scattering off of q (or \bar{q}), via W_μ^\pm exchange by Fermi theory (i.e., effective 4-fermion interaction):

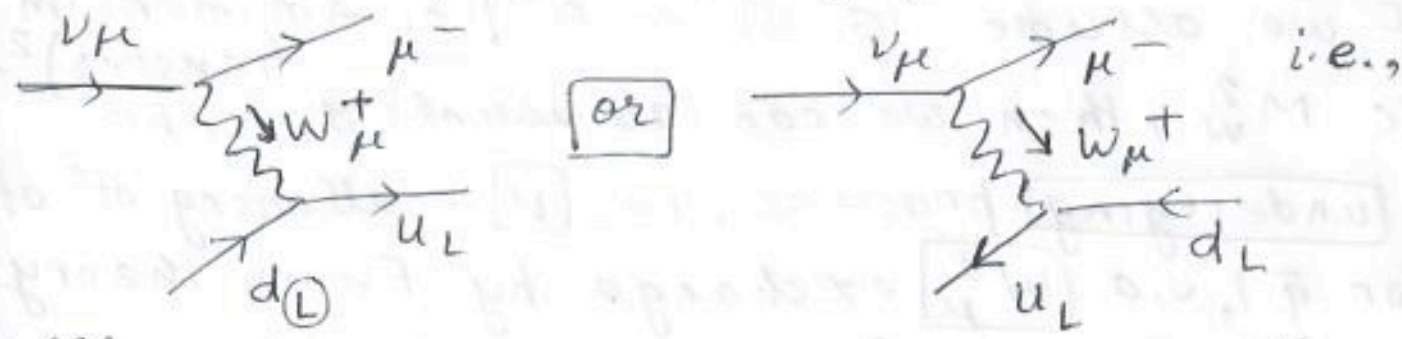
$$\mathcal{L}_{\text{effective}} \Rightarrow \frac{G_F}{\sqrt{2}} \left[\bar{\mu} \gamma_\mu (1 - \gamma_5) \nu_\mu \right] \left[\bar{u} \gamma^\mu (1 - \gamma_5) d \right] + \text{h.c.}$$

\downarrow creates μ^- $2P_L$ \downarrow destroys ν_μ

- where we have chosen neutrino to be muon type
- Of course, ν_μ can also interact with q, \bar{q} via

neutral current (Z_μ , but not photon, exchange), but that possibility can be "vetoed" by insisting on/selecting events where there is an outgoing μ^- : perhaps this is why we didn't use incoming ν_e , since it can interact by Z_μ exchange with electron inside atom ^{if that is used} as target (instead of proton) giving an energetic electron in final state, i.e., looking like ν_e exchanging W_μ^+ with $q, \bar{q}(\dots(?!))$

- Interestingly (here's where non-trivial interplay between EW and QCD physics), ν_μ can only scatter off of down quark inside proton (again, restricting to W_μ exchange), that too LH:



ν_μ can interact with anti-up quark, but RH [i.e., anti-particle of u_L : again \bar{u} operator in above \mathcal{L} effective either creates u_L as in 1st diagram or destroys $(\bar{u})_R$ as in 2nd].

- Similarly, anti-neutrino (muon flavor) can only scatter off of u_L or $(\bar{d})_R$ by W_μ exchange

- We can obtain cross section for DIUS (11)

by re-casting (appropriately modifying) that for DIelectrons obtained earlier, i.e.,

$$\frac{d\sigma}{dx dy} (e^- p \rightarrow e^- X) = \sum_f \frac{2\pi\alpha^2}{Q_f^4} s \times$$

$\left[x f_f(x) Q_f^2 \right] \left[1 + (1-y)^2 \right]$, where

$$Q^2 = -(\text{momentum transfer})^2 = xys; \quad s = (p+k)^2$$

$$= -(\underbrace{k'-k}_{\substack{\text{incoming} \\ \text{electron}}} \rightarrow \underbrace{\text{outgoing electron}}) \quad (\text{initial}) \text{ proton momentum}$$

and $y = 2P \cdot (k'-k)/s$

Simple changes first in DIeS

(i). $2\pi\alpha^2 = 2\pi \left(\frac{e^2}{4\pi} \right)^2$ for photon exchange λ

$\rightarrow 2\pi \left(\frac{g^2}{4\pi} \right)^2$ for W_μ exchange for DIUS

(ii). $\left[Q_e (\text{charge of electron}) \times Q_f (\text{charge of quark/anti-quark}) \right]^2 \rightarrow \left(\frac{1}{\sqrt{2}} \right)^4$ "charge" i.e. under λ from $(\sigma_{\pm} / 2) \lambda^{W_\mu^\pm}$

(iii). $\frac{1}{Q^4}$ from [photon propagator (with momentum = that transferred, i.e. $k'-k$)]² \rightarrow $\frac{1}{M_W^4}$ from W_μ propagator (dropping momentum in it vs. M_W)

(iv). No $\left(\frac{1}{2} \right)$ from averaging over polarizations of incoming ν (or $\bar{\nu}$) since its always LH (or RH), cf. electron is 50% LH (RH). Averaging over incoming quark/anti-quark applies to both cases.

(v). Related to (iv). above: for electron, we get factor of 2 in cross-section due to LH & RH contributing equally, but for ν (or $\bar{\nu}$), only LH (or RH) is present [in detail, $(e^-)_L (u)_L$ is same as $(e^-)_R (u)_R$ scattering etc.]

So, net effect of above 5 changes is that (12)

$$\boxed{Q_f^2 \frac{2\pi\alpha^2}{Q^4}} \rightarrow \boxed{G_F^2/\pi} \text{ again } \frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2}$$

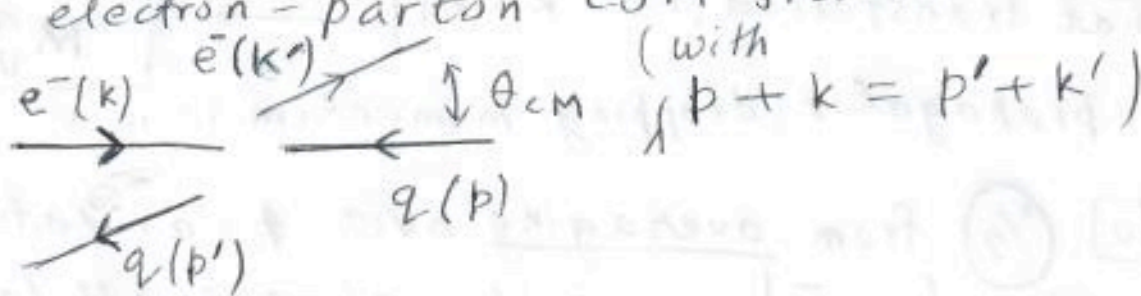
[v.] More subtle change for rest of the factor [other than "s x" which is from kinematics, hence stays same], i.e., $\boxed{f_f(x) [1 + (1-y)^2]}$.

- In order to understand how to apply this to DIvS, we have to remind ourselves (see other posted note on DIeS) that

(1) in [...] above "comes from" \hat{S}^2 , while $(1-y)^2$ in [...] stems from \hat{u}^2 , where $\hat{S}, \hat{u}, \hat{t}$ are the usual Mandelstam variables, but at parton-level (cf. [S] is at proton-level):

$$\boxed{\hat{S}} = (\underset{\substack{\downarrow \\ \text{incoming} \\ \text{quark/anti-quark momentum}}}{k+p})^2 \approx 2 p \cdot k \text{ (neglecting masses)} = 2 P \xi \cdot k = \xi S, \text{ i.e.}$$

[no] dependence on θ_{cm} , which is scattering angle in the electron-parton COM frame:



$$\boxed{\hat{t}} = (k' - k)^2 = q^2 = -Q^2 = -\hat{S} (1 - \cos\theta_{cm})/2$$

(which clearly shows that $\hat{t} < 0$ and $|\hat{t}| \leq \hat{S}$, latter relation was used in earlier note)

$$\hat{u} = (p' - k)^2 = -\hat{s}(1 + \cos \theta_{cm})/2 = \textcircled{13}$$

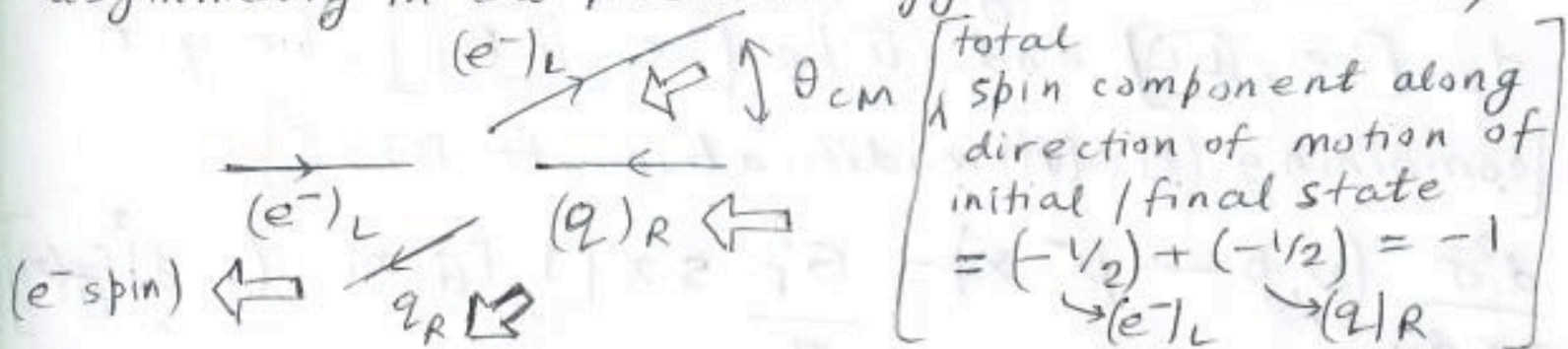
$$-\hat{s}(1 + \hat{t}/\hat{s}) = -\hat{s}\left[1 - Q^2/s\epsilon\right]$$

- Now \hat{s}^2, \hat{u}^2 in the form of "1" and " $(1-y)^2$ " come from Dirac matrix algebra [i.e., specific to partons being fermions]: they "encode" helicity information as follows (of course, helicity \approx chirality in relativistic limit here)

- Take the case of "LR" scattering, i.e.,

$$(e^-)_{\textcircled{L}} + [q(\text{or } \bar{q})]_{\textcircled{R}} \rightarrow (e^-)_L + [q(\text{or } \bar{q})]_R$$

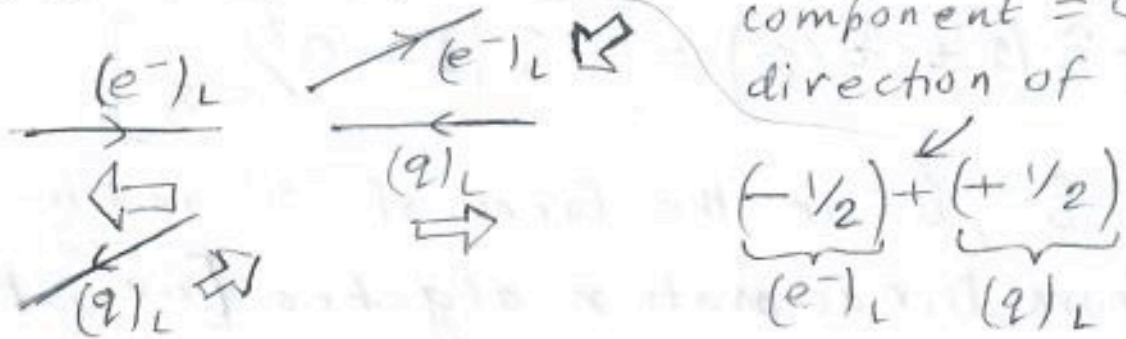
(again, helicities do not change in this process, i.e., " γ_μ " structure of interaction), where orientation of spins (a.k.a what was done for forward-backward asymmetry in EW phenomenology discussion/note!):



shows that amplitude should vanish in backward direction [i.e., $\theta_{cm} = \pi$], suggesting that "LR" contribution [similarly "RL", i.e., $(e^-)_{\textcircled{R}} + q_{\textcircled{L}} \rightarrow \dots$] is $\hat{u}^2 \propto (1 + \cos \theta_{cm})^2$, thus $(1-y)^2$ in DIES cross-section

- Whereas, LL [i.e., $(e^-)_L (q)_L \rightarrow (e^-)_L (q)_L$] has no such "preference" for θ_{cm} dependence based on

orientation of spins (since total spin-component = 0 along direction of motion) (14)



Thus, while not a "proof" (for that one has ^(projection) to work out Dirac _{matrix} algebra, i.e., insert PLR operators in calculation of Peskin, Schroeder, section 5.4), we understand that (LL) [similarly (RR) , i.e., $(e^-)_R (q)_R \rightarrow (e^-)_R (q)_R$] contributions correspond to $[\hat{S}^2]$ (again, no θ_{cm} dependence) or "1" term in DIES formula

— Since incoming $(\nu)_L$ interacts only with d_L [i.e., (LL)] and $(\bar{u})_R$ [i.e., (LR)], we get [combining (i) - (vi) modifications to DIES]:

$$\frac{d^2\sigma}{dx dy} (\nu_{\mu p} \rightarrow \mu^- X) = \frac{G_F^2}{\pi} s x \left[1 \cdot f_{\oplus}(x) + (1-y)^2 f_{\bar{u}}(x) \right]$$

$\underbrace{\frac{G_F^2}{\pi}}_{\text{replaces } Q_F^2 2\pi\alpha^2/Q^4}$
 \uparrow from $LL \propto \hat{S}^2$
 \uparrow from $LR \propto \hat{u}^2$

as per (i) - (iv) above

— Similarly, incoming $(\bar{\nu})_R$ interacts with u_L [i.e., (RL)] or $(\bar{d})_R$ [i.e., (RR)] giving

$$\frac{d^2\sigma}{dx dy} (\bar{\nu}_\mu p \rightarrow \mu^+ X) = \frac{G_F^2}{\pi} s x \left[\underset{\substack{\uparrow \\ \text{from RR} \\ \propto \hat{s}^2}}{f_{\bar{d}}(x)} + (1-y)^2 \underset{\substack{\uparrow \\ \text{from RL} \\ \propto \hat{u}^2 \\ \text{of earlier}}}{f_u(x)} \right] \quad (15)$$

- Comparing cross-section formulae for DIeS and DIvS just above, we see that (as promised) the 2 processes depend on different combinations of PDFs

- Note that to the extent that proton is made up of "mostly" quarks ^{i.e.,} not anti-quarks, we expect that (differential) cross-section for ν_μ scattering off of proton should be roughly constant in y (since y -dependence comes from \bar{u} PDF), while falling-off as $(1-y)^2$ for $\bar{\nu}_\mu$ (since we can neglect constant piece from \bar{d} PDF)

Properties of PDF's of quarks & anti-quarks

- As mentioned above, PDF's can be extracted from data on DIeS and DIvS
- How about gluon PDF (which we can't get directly from DIS, since leptons don't interact directly with gluons inside proton)?
- The point is that (as indicated earlier), at $O[\alpha_s^0]$, PDF's of quarks/anti-quarks entering DIS cross-

sections depend only on x , i.e., are

independent of $[Q^2]$ (this is called "Bjorken scaling")

- However, at higher-order in (perturbative) $[QCD]$, the involved

\int PDF's change (slowly) with $[Q^2]$: this is the hard gluon exchange part of corrections labelled (4) in earlier picture: clearly then PDF's of gluons and quarks/anti-quarks are "coupled" at $O(\alpha_s)$

- Thus, measurement of above "violation" of Bjorken scaling enables determination of gluon PDF's (which are needed, for example, for calculation of gluon fusion production cross-section of Higgs boson).

- Also, u and d (vs. \bar{u}, \bar{d} or gluon) carry most of proton's momentum at least at large $[x]$, based on proton being a "uud" bound state

- Indeed, PDF's must be normalized so as to reflect above quantum numbers of proton; again proton = u u d ("valence" partons) + gluon & $q \bar{q}$ (in principle, all flavors) pairs ("sea" partons)

- Thus, proton should have an "excess" of 2 up & 1 down quarks over \bar{q} , i.e.,

$$\int_0^1 dx [f_u(x) - f_{\bar{u}}(x)] = 2 ; \int_0^1 dx [f_d(x) - f_{\bar{d}}(x)] = 1$$

(again, PDF's are after all probability densities for finding various partons inside proton

- So far, we have (implicitly sometimes) discussed

PDF's of proton: it is straightforward to extend these arguments to neutron, i.e., u d d bound state, with ^{"extra"} gluon & $q\bar{q}$ pairs.

- clearly (again $p \rightarrow n$ by simply $d \leftrightarrow u$), we get (approximately) $f_u^{(n)}(x) = f_d(x)$, i.e., for proton;

$f_d^{(n)}(x) = f_u(x)$... similarly, for anti-proton, i.e.,

$f_u^{(\bar{p})}(x) = f_{\bar{u}}(x)$, i.e., for proton

- Finally, since total momentum of proton must come from those of its various constituents/ partons, we have a "completeness relation":

$$\int_0^1 dx (x) [f_u(x) + f_d(x) + f_{\bar{u}}(x) + f_{\bar{d}}(x) + f_g(x)] = 1$$

fraction of momentum carried by parton

PDF of gluon = 1

(neglecting tiny strange, charm quark, etc. content of proton)