

# Lecture 26, Oct. 30 (Fri.)

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## Outline for today (& next weeks)

- orbital angular momentum/spherical harmonics from **rotations viewpoint** (re-deriving "old" results):  
sec. 3.6 of Sakurai
- Solving Schrodinger's wave equation for **spherically symmetric** potential:  
(back to) 3D free particle & (isotropic) SHO (**angular momentum viewpoint**);  
Coulomb;  $\infty$  well (sec. 3.7 of Sakurai)
- **Addition** of angular momenta:  
sec. 3.8 of Sakurai

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What has  $\bar{L} = \bar{x} \times \bar{p}$  (operator) to do with rotations?

(i) Commutation relations of  $\bar{L}$ :

use  $[x_i, p_j] = i\hbar \delta_{ij}$ ;  $[x_i, x_j] = [p_i, p_j] = 0$

to get :  $[L_i, L_j] = i \epsilon_{ijk} L_k$

i.e., those of angular momentum ( $\vec{J}$ )

$$\left\{ \begin{array}{l} \text{e.g. } [L_x, L_y] = [(y p_z - z p_y), \\ (\vec{x} \times \vec{p})_x \quad (z p_x - x p_z)] \end{array} \right.$$

$$= [y p_z, z p_x] + [z p_y, x p_z],$$

do **not** commute

$$\text{whereas } [y p_z, x p_z] = 0 = [z p_y, z p_x]$$

$$= y p_x \underbrace{[p_z, z]}_{-i\hbar} + p_y x \underbrace{[z, p_z]}_{+i\hbar}$$

$$= i\hbar (x p_y - y p_x) = i\hbar L_z$$

... similarly,  $[L_z, L_x]$  &  $[L_y, L_z]$

(ii)  $L$  ("made of"  $x, \bar{p}$ ) acts on position eigenket, rotating it infinitesimally (using action of  $\bar{p}$  on position eigenkets)

$$\begin{aligned}
 & \left[ 1 - i \left( \frac{\delta\phi}{\hbar} \right) L_z \right] |x', y', z'\rangle \\
 &= \left[ 1 - i \left( \frac{\delta\phi}{\hbar} \right) (x p_y - y p_x) \right] |x', y', z'\rangle \\
 & \quad \text{switch} \\
 &= \left[ 1 - i \left( \frac{\delta\phi}{\hbar} \right) (p_y x - p_x y) \right] |x', y', z'\rangle \\
 &= \left[ 1 - i \left( \frac{p_y}{\hbar} \right) (\delta\phi x') + i \left( \frac{p_x}{\hbar} \right) (\delta\phi y') \right] |x', y', z'\rangle \\
 & \left\{ \text{Recall: } \left[ 1 - i \left( \frac{p}{\hbar} \right) (\delta x') \right] |x'\rangle = |x' + \delta x'\rangle \right. \\
 & \quad \left. \text{in 1d.} \right\} \\
 &= |x' - y' \delta\phi, y' + x' \delta\phi, z'\rangle
 \end{aligned}$$

$\Rightarrow$  infinitesimal rotation about  
z-axis [if  $\bar{p}$  generates  
translation, then  $\bar{L} = (\bar{x} \times \bar{p})$   
generates rotations]

$\rightarrow$  So,  $\bar{L}$  is indeed " $\bar{J}$ " ("same"  
footings as  $\bar{S}$ )

— Use "new" property of  $\bar{L}$  ["forget"  
its origin as  $(\bar{x} \times \bar{p})$ ] to reproduce  
"old" results:

— How does  $\bar{L}$  "act" on wavefunctions?

[again, from  $\bar{L} = (\bar{x} \times \bar{p})$ , we already  
know  $L_z = -i\hbar \frac{\partial}{\partial \phi}$  &  
 $|\bar{L}|^2$  is angular part of  $\bar{\nabla}^2$ ]

— want "analog" of  $\langle x' | p | \alpha \rangle = -i\hbar \frac{\partial}{\partial x'} \underbrace{\langle x' | \alpha \rangle}_{\psi_\alpha(x')}$

- infinitesimal rotation about  $z$ -axis  
of a ket:

$$\langle x', y', z' | \left[ 1 - i \left( \frac{\delta\phi}{\hbar} \right) L_z \right] | \alpha \rangle$$

rotated ket

(use action of  $L$   
on position eigenkets)

[use  $\langle \alpha | x \rangle \leftrightarrow x^\dagger | \alpha \rangle$ ]

unchanged

$$= \langle x' + y' \delta\phi, y' - x' \delta\phi, z' | \alpha \rangle$$

- Switch to spherical:  $\langle x', y', z' | \rightarrow \langle r, \theta, \phi |$

$$\langle r, \theta, \phi | \left[ 1 - i \left( \frac{\delta\phi}{\hbar} \right) L_z \right] | \alpha \rangle = \langle r, \theta, \phi - \delta\phi | \alpha \rangle$$

$$\approx \langle r, \theta, \phi | \alpha \rangle - \delta\phi \frac{\partial}{\partial \phi} \langle r, \theta, \phi | \alpha \rangle$$

$$\Rightarrow \langle \bar{x}' | L_z | \alpha \rangle = -i\hbar \frac{\partial}{\partial \phi} \langle x' | \alpha \rangle$$

$$\left[ L_z \text{ " = " } - i\hbar \frac{\partial}{\partial \phi} \right]$$

"acting on" wavefunctions

- Similarly,  $\delta\phi$  rotation about  $x$ -axis:

$$\langle x', y', z' | \left[ 1 - i \frac{\delta\phi}{\hbar} L_x \right] | \alpha \rangle = \langle x', y' + z' \delta\phi, z' - y' \delta\phi | \alpha \rangle;$$

express  $x', y', z'$  in terms of  $r, \theta, \phi$  (including derivatives) to give

$$\langle \bar{x}' | L_x | \alpha \rangle = -i\hbar \left( -\sin\phi \frac{\partial}{\partial\theta} - \cot\theta \cos\phi \frac{\partial}{\partial\phi} \right) \langle x' | \alpha \rangle$$

$$\text{and } \langle \bar{x}' | L_y | \alpha \rangle = -i\hbar \left( \cos\phi \frac{\partial}{\partial\theta} - \cot\theta \sin\phi \frac{\partial}{\partial\phi} \right) \langle \bar{x}' | \alpha \rangle$$

- As usual, raising/lowering operators:

$$L_{\pm} = L_x \pm iL_y \text{ so that}$$

$$\langle \bar{x}' | L_{\pm} | \alpha \rangle = -i\hbar e^{\pm i\phi} \left( \pm i \frac{\partial}{\partial\theta} - \cot\theta \frac{\partial}{\partial\phi} \right) \langle \bar{x}' | \alpha \rangle$$

- Finally  $|L|^2 = L_z^2 + \frac{1}{2}(L_+L_- + L_-L_+)$ :

$$\langle \bar{x}' | |L|^2 | \alpha \rangle = -\hbar^2 \left[ \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial\phi^2} + \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} (\sin\theta \frac{\partial}{\partial\theta}) \right] \langle \bar{x}' | \alpha \rangle$$

$|\bar{L}|^2$  ("upto"  $-\hbar^2 r^2$ ) = "angular part of  $\bar{\nabla}^2$ "

- Note: above only used  $\bar{L}$  generates rotation [and **not**  $\bar{L} = \bar{x} \times \bar{p}$  per say]

... can get  $\bar{L}$ ,  $|\bar{L}|^2$  action on wavefunction also from  $\bar{L} = (\bar{x} \times \bar{p})$ , with  $\bar{p} = "-i\hbar \bar{\nabla}'$

- Actually, more "physically/elegantly",  $|\bar{L}|^2$  action on wavefunction via "old" picture:

$$|\bar{L}|^2 = |\bar{x}|^2 |\bar{p}|^2 - (\bar{x} \cdot \bar{p})^2 + i\hbar \bar{x} \cdot \bar{p}$$

[using  $\bar{L} = (\bar{x} \times \bar{p})$ ] ...

$$\langle \bar{x}' | |\bar{L}|^2 | \alpha \rangle = r^2 \langle \bar{x}' | |\bar{p}|^2 | \alpha \rangle$$

$$+ \hbar^2 \left( r^2 \frac{\partial^2}{\partial r^2} \langle \bar{x}' | \alpha \rangle + 2r \frac{\partial}{\partial r} \langle \bar{x}' | \alpha \rangle \right)$$

radial part of  $\bar{\nabla}'^2$  (upto  $r^2$ )

$\Rightarrow \langle \bar{x}' | |\bar{L}|^2 | \alpha \rangle =$  angular part of  $\bar{\nabla}'^2$ , "upto"  $-\hbar^2 r^2$  ... as before

Similarly, new viewpoint  
on spherical harmonics:  $Y_l^m(\theta, \phi)$

— "Old" way:  $Y_l^m$  appear when  
solving wave equation (e.g. Schrodinger's)  
or Laplace's equation (e.g., electrostatic  
potential in charge free region), with

$(l, m)$  as separation constants  
(no rotations/angular momentum  
connection per say) ...

... whereas now see (angular) functions  
parametrized by  $(l, m)$  as angular  
momentum eigenvalues must be  
present due to rotational invariance

$\Rightarrow$  "define"  $Y_l^m(\theta, \phi)$  in terms of  $|l, m\rangle$   
(angular momentum eigenkets),



"recovering" usual / known properties of  $Y_l^m$  based on those of  $|l, m\rangle$

— Also, rotation matrices,  $D_{m' m}^{(l)}(\alpha, \beta, \gamma)$

"related to"  $Y_l^m(\theta, \phi) \dots$

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Earlier exposure to  $Y_l^m(\theta, \phi)$ ; e.g., solving Schroedinger's wave equation for potential which is spherically symmetric by separation of variables in coordinates: energy eigenstates look like

$$\langle \vec{x}' | n, l, m \rangle = R_{nl}(r) \times Y_l^m(\theta, \phi)$$

$r, \theta, \phi$  ← ↙ ↘  
"radial/principal" quantum number  
(e.g. one electron atom)

$l, m$ : separation constants...

... but also angular momentum eigenvalues as per **new** viewpoint  
 ... what's connection?

Rotational invariance:  $H = V(r \text{ only}) + |\vec{p}|^2 / (2m)$

" = "  $V(r) - \frac{\hbar^2}{2m} \left( \text{radial part of } \nabla'^2 \right)$   
 crucial  $\uparrow$

+ (angular part of  $\nabla'^2$ )  $\left( \frac{\hbar^2}{2m} \right) \left\{ \frac{|\vec{L}|^2}{(2mr^2)} \right\}$

$$\Rightarrow [H, |\vec{L}|^2] = 0 = [H, L_z]$$

$\Rightarrow$  energy eigenstates are also **eigenkets** of  $|\vec{L}|^2, L_z$  (but **not**  $L_{x,y}$ )

&  $L_k$ 's commute "like"  $J_k$ 's

$\Rightarrow$  eigenvalues of  $|\vec{L}|^2, L_z$  are  $(l+1)l\hbar^2$  &  $m\hbar$  ( $m = -l \dots +l$ ):  $l, m$  "label" energy eigenstates ...

( $l$  not yet restricted to be **integer**)

$\Rightarrow$  angular dependence of energy eigenstates (for *any* spherically symmetric potential) characterized by  $l, m$ : define  $Y_l^m(\theta, \phi)$  using angular momentum eigenkets (cf. originally from separating variables)

$$\underbrace{\langle \hat{n} |}_{\text{"direction" eigen bra}} l, m \rangle \equiv Y_l^m(\underbrace{\theta, \phi}_{\text{polar, azimuthal angles of } \hat{n} \text{ (unit vector)}})$$

$[Y_l^m(\theta, \phi) : \text{amplitude for } |l, m\rangle \text{ "to be found" in } \hat{n}]$

Check Properties of above "version" of  $Y_l^m(\theta, \phi)$

(differential equations satisfied,

actual functional form)

"inherited" from  $|l, m\rangle \dots$

same as before (separation of variables, not quite related to rotations/angular momentum), e.g.;  
action of  $\bar{L}$  on  $|l, m\rangle \rightarrow$  DE's for  $Y_l^m$ :

$$\langle \hat{n} | L_z | l, m \rangle = m \hbar \langle \hat{n} | l, m \rangle$$

$$\text{Use } \langle \bar{x}' | L_z | \alpha \rangle = -i \hbar \frac{\partial}{\partial \phi} \langle \bar{x}' | \alpha \rangle \left( \begin{array}{l} \bar{x}' \rightarrow \hat{n} \\ \alpha \rightarrow l, m \end{array} \right)$$

(again, "based on" rotations)  $\Rightarrow$

$$-i \hbar \frac{\partial}{\partial \phi} \langle \hat{n} | l, m \rangle = m \hbar \langle \hat{n} | l, m \rangle$$

$$\frac{\partial}{\partial \phi} Y_l^m(\theta, \phi) = i m Y_l^m(\theta, \phi)$$

$$\Rightarrow Y_l^m(\theta, \phi) \propto e^{i m \phi}$$

Similarly  $(\bar{L})^2 |l, m\rangle = l(l+1)\hbar^2 |l, m\rangle \Rightarrow$

$$\left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} + (\ell+1)\ell \right] Y_\ell^m = 0$$

"using rotations"

— orthonormality :  $\langle \ell', m' | \ell, m \rangle = \delta_{\ell' \ell} \delta_{m' m}$

$$= \int d\Omega_{\hat{n}} \langle \ell', m' | \hat{n} \rangle \langle \hat{n} | \ell, m \rangle$$

$$\Rightarrow \int_0^{2\pi} d\phi \int_{-1}^{+1} d(\cos \theta) Y_{\ell'}^{m'}(\theta, \phi)^* Y_\ell^m(\theta, \phi) = \delta_{\ell' \ell} \delta_{m' m}$$

... same as known from separation of variables

What do  $Y_\ell^m(\theta, \phi)$  look like

(know already  $e^{im\phi}$  part)?

Again, use "new" insight (from  $|\ell, m\rangle$ ):

start with  $Y_\ell^{\ell(=m)} = e^{i\ell\phi} f_\ell(\theta) = \langle \hat{n} | \ell, \ell \rangle$   
↪ to be determined

Use  $L_+ | \ell, \ell \rangle = 0$

$$\text{and } \langle \bar{x}' | L_+ | \alpha \rangle = -i\hbar e^{+i\phi} \left( i \frac{\partial}{\partial \theta} - \cot \theta \frac{\partial}{\partial \phi} \right)$$

$$\text{with } \begin{matrix} x' \rightarrow \hat{n} \\ \alpha \rightarrow l, m \end{matrix}$$

$$\langle \bar{x}' | \alpha \rangle$$

to get

1<sup>st</sup> order DE vs.

2<sup>nd</sup> above

$$-i\hbar e^{i\phi} \left[ i \frac{\partial}{\partial \theta} - \cot \theta \frac{\partial}{\partial \phi} \right] \langle \hat{n} | l, l \rangle = 0$$

$\Rightarrow$

$$\frac{d f_l(\theta)}{d\theta} = (\cot \theta) l f_l(\theta)$$

$$\begin{aligned} & \psi_l^l(\theta, \phi) \\ & = e^{i l \phi} f_l(\theta) \end{aligned}$$

$$\Rightarrow \frac{d f_l(\theta)}{f_l(\theta)} = \left( \frac{d(\sin \theta)}{\sin \theta} \right) l ; d(\ln f_l) = l d(\ln \sin \theta);$$

$$\ln f_l = (\ln \sin \theta + \text{constant}) \Rightarrow \boxed{f_l(\theta) \propto (\sin \theta)^l}$$

$$\psi_l^l(\theta, \phi) = c_l e^{i l \phi} (\sin \theta)^l, \text{ with}$$

$c_l$  (normalization)

$$= \left[ \frac{(-1)^l}{(2^l l!)} \right] \sqrt{\frac{(2l+1)(2l)!}{4\pi}}$$

How to get  $Y_{\ell}^{m < \ell}(\theta, \phi)$ ?

$$= \langle \hat{n} | \ell, m < \ell \rangle \dots$$

$$\langle \hat{n} | \ell, m-1 \rangle = \langle \hat{n} | L_- | \ell, m \rangle$$

use  
action of  
 $L_-$  on  
wavefunction

$$= \frac{e^{-i\phi} \left( -\frac{\partial}{\partial \theta} + i \cot \theta \frac{\partial}{\partial \phi} \right) \langle \hat{n} | \ell, m \rangle}{\sqrt{(\ell+m)(\ell-m+1) \hbar}}$$

used repeatedly ... to give

$$Y_{\ell}^{m = \ell-1 \dots 0} \text{ (fixed } \ell, \ell > m \geq 0)$$

$$= \frac{(-1)^{\ell}}{2^{\ell} \ell!} \sqrt{\frac{(2\ell+1)(\ell+m)!}{4\pi(\ell-m)!}} \boxed{e^{im\phi}} \frac{1}{\sin^m \theta} \times$$

$$\frac{d^{\ell-m}}{d(\cos \theta)^{\ell-m}} (\sin \theta)^{2\ell} \underbrace{e^{-im\phi} f_{\ell}(\theta)}_{*}$$

with  $Y_{\ell}^{-m} = (-1)^m [Y_{\ell}^m(\theta, \phi)]^*$

- Note/recall:  $f_l(\theta)$  is  $(\sin \theta)^{|m|} x$

polynomial in  $\cos \theta$  of degree  $(l - |m|)$ :  
associated Legendre functions  $[P_l^m(\cos \theta)]$

- For  $m = 0$ ,  $Y_l^0(\theta, \phi) = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos \theta)$

- Another way to get Legendre polynomials

- Remarkably simpler way to obtain  $Y_l^m(\theta, \phi)$  using rotations / ket "language,"

(cf. solving 2<sup>nd</sup> order PDE postulating

series solution, requiring termination...

in old approach (separation of variables)

- Can  $l, m$  be half-integers (allowed so far)? No

- wavefunction  $\propto Y_l^m(\theta, \phi)$   
 $\propto e^{im\phi}$



$\Rightarrow$  If  $m = \text{half-integer}$ ,  
 then  $2\pi$  rotation ( $\phi \rightarrow \phi + 2\pi$ )  
 flips sign of wavefunction...

... but that's same physical  
 point!  $\Rightarrow$   $m$  (hence  $l$ ) is  
 integer

[More formally,  $L$  generates  
 rotation of  $|\bar{x}'\rangle$ , with

"2 $\pi$ -rotated"  $|\bar{x}'\rangle = |\bar{x}'\rangle \Rightarrow$   
 wavefunction of  $2\pi$  rotated (about z-axis) state =

$$\langle \bar{x}' | \exp\left(\frac{-iL_z 2\pi}{\hbar}\right) | \alpha \rangle$$

act on eigenbra rotated state

$$\mathcal{D}(\phi \text{ about } z\text{-axis}) = \exp\left(\frac{iL_z \phi}{\hbar}\right)$$

$$= \langle (-2\pi)\text{-rotated } x' | \alpha \rangle \left( \langle B | x \leftrightarrow x^\dagger | B \rangle \right)$$

=  $\langle x' | \alpha \rangle$ , i.e., wavefunction is unchanged...

more "mathematical" arguments in Sakurai