

DEGENERATE PERTURBATION THEORY (TIME-INDEPENDENT)

Example

Let us take a 2D oscillator:

$$H^0 = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} - \frac{\hbar^2}{2m} \frac{d^2}{dy^2} + \frac{1}{2} kx^2 + \frac{1}{2} ky^2$$

The ground state is non-degenerate; its energy is $\hbar\omega$.

Let us indicate with $|m, m\rangle$ the eigenstates of H^0 , with eigenvalues $\hbar\omega(m+m+1)$. $|1, 0\rangle$ and $|0, 1\rangle$ (1st excitation along x and along y , respectively) both have the same energy: $2\hbar\omega \Rightarrow$ degeneracy (in general, $|m, m\rangle$ states have a degeneracy $p = m+m+1$: $|4, 5\rangle, |5, 4\rangle, |9, 0\rangle, |1, 8\rangle \dots$ all have the same energy.)

Let us introduce the (usual) notation:

a, b : ladder operators for the x and y components

$|m, m\rangle$: m -th excited states along x component and m -th excited state along the y component

We have:

$$x = \sqrt{\frac{\hbar}{2m\omega}} (a + a^\dagger)$$

$$y = \sqrt{\frac{\hbar}{2m\omega}} (b + b^\dagger)$$

$$a |m, m\rangle = \sqrt{m} |m-1, m\rangle$$

$$a^\dagger |m, m\rangle = \sqrt{m+1} |m+1, m\rangle$$

$$b |m, m\rangle = \sqrt{m} |m, m-1\rangle$$

$$b^\dagger |m, m\rangle = \sqrt{m+1} |m, m+1\rangle$$

Let us now introduce the perturbation:

$$H' = k' xy$$

and evaluate its effect on the first excited state, which is degenerate, with a two-fold degeneracy:

$$E^0 = 2\hbar\omega \quad ; \quad \text{both } |1, 0\rangle \text{ and } |0, 1\rangle \text{ have the same energy}$$

Let us build the W matrix:

$$W = \begin{pmatrix} \langle 1,0 | H' | 1,0 \rangle & \langle 1,0 | H' | 0,1 \rangle \\ \langle 0,1 | H' | 1,0 \rangle & \langle 0,1 | H' | 0,1 \rangle \end{pmatrix}$$

$$H' = K' x y = K' \left(\frac{\hbar}{2m\omega} \right) (a+a^\dagger)(b+b^\dagger) = \left(\frac{\hbar}{2m\omega} \right) K' (ab + ab^\dagger + a^\dagger b + a^\dagger b^\dagger)$$

[note: a and b commute because they operate on different components]

Then:

$$\langle 1,0 | H' | 1,0 \rangle = \emptyset : \text{no term in } H' \text{ leaves } |1,0\rangle \text{ unchanged:} \\ \text{it either becomes } |0,0\rangle \text{ or } |1,1\rangle$$

$$\langle 0,1 | H' | 0,1 \rangle = \emptyset : \text{same reasoning}$$

$$\langle 1,0 | H' | 0,1 \rangle = \left(\frac{\hbar}{2m\omega} \right) K' \langle 1,0 | a^\dagger b | 0,1 \rangle = \left(\frac{\hbar}{2m\omega} \right) K'$$

$$\langle 0,1 | H' | 1,0 \rangle = \left(\frac{\hbar}{2m\omega} \right) K' \langle 0,1 | ab^\dagger | 1,0 \rangle = \left(\frac{\hbar}{2m\omega} \right) K'$$

only this term transforms $|1,0\rangle$ into $|0,1\rangle$

Finally:

$$W = \left(\frac{\hbar}{2m\omega} \right) K' \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Diagonalization is straight forward (solve linear algebra problem: $W \vec{\alpha} = E \vec{\alpha}$)

$$\vec{\alpha}_{(1)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$E_{(1)} = \left(\frac{\hbar}{2m\omega} \right) K'$$

$$\vec{\alpha}_{(2)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$E_{(2)} = - \left(\frac{\hbar}{2m\omega} \right) K'$$

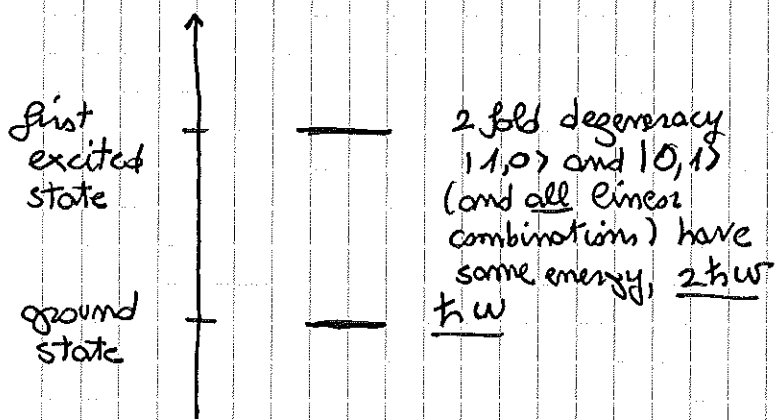
matrix \downarrow
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vector

What is the meaning of this result? The meaning of it is that the "good" eigenstates of the perturbed Hamiltonian are not $|1,0\rangle$ and $|0,1\rangle$ but rather:

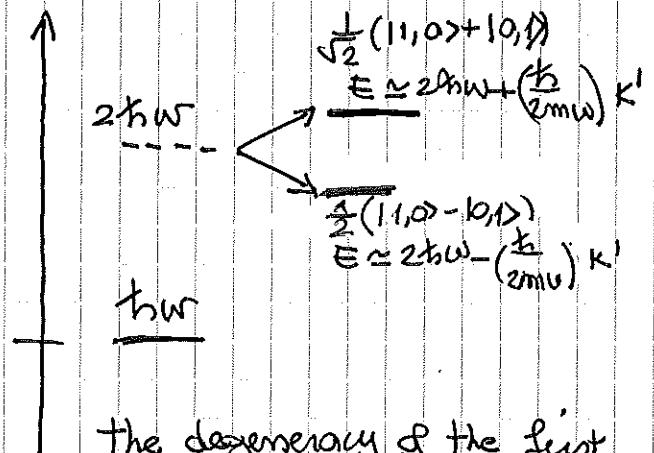
$$\frac{1}{\sqrt{2}} (|1,0\rangle + |0,1\rangle) \quad \text{and} \quad \frac{1}{\sqrt{2}} (|1,0\rangle - |0,1\rangle)$$

Here is a picture of what is happening:

UNPERTURBED HAMILTONIAN



PERTURBED HAMILTONIAN



The degeneracy of the first excited state is lifted.