

DEGENERATE PERTURBATION THEORY (^{still} TIME-INDEPENDENT)

Let us suppose that the unperturbed states of the Hamiltonian H^0 are degenerate, i.e., more than one state is an eigenstate of H^0 corresponding to the same eigenvalue. E.g., let us imagine a two-fold degeneracy.

We can write:

examples: 1-D oscillator, particle in a box; H atom

$$H^0 \psi_a^0 = E^0 \psi_a^0$$

$$H^0 \psi_b^0 = E^0 \psi_b^0$$

and choose ψ_a^0 and ψ_b^0 such that $\langle \psi_a^0 | \psi_b^0 \rangle = 0$

Let me extend this already to the case in which I have a p -fold degeneracy (p being the number of independent states that share the same eigenvalue E^0):

$$H^0 \psi_j^0 = E^0 \psi_j^0 \quad j = 1 \dots p$$

$$\text{and } \langle \psi_j^0 | \psi_l^0 \rangle = \delta_{jl} \quad \left\{ \begin{array}{l} \text{Kronecker} \\ \delta \end{array} \right.$$

All these p states have a common unperturbed energy E^0 .

(note: in the ^{previous} lecture notes, the lower index indicated the m -th eigenstate, corresponding to the m -th energy level; here it indicates the j -th state, in a set of p states, all of which have the same energy)

The perturbation typically breaks the degeneracy. Our problem is that we do not know if the ψ_j^0 we choose are indeed the eigenstates of the perturbed Hamiltonian.

Let us posit that there exists a linear combination of ψ_j^0 that is an eigenstate of the perturbed Hamiltonian. This will simplify our calculations a lot. We can write:

$$\psi^0 = \sum_j \alpha_j \psi_j^0$$

Let us take

where ψ^0 is our magical linear combination. ψ^0 normalized $\Rightarrow \sum_j \alpha_j^* \alpha_j = 1$.

Let us remember that $\langle \psi_j^0 | \psi_l^0 \rangle = \delta_{jl}$ and write the usual expansion in powers of λ :

$$\psi = \psi^0 + \lambda \psi^1 + \lambda^2 \psi^2 + \dots$$

$$E = E^0 + \lambda E^1 + \lambda^2 E^2 + \dots$$

$$H = H^0 + \lambda H^1$$

substitute
into

$$\Rightarrow H\psi = E\psi$$

The first-order equation is (again):

$$H\psi^1 + H^1\psi^0 = E^0\psi^1 + E^1\psi^0$$

Let us project the equation on $\langle \psi_k^0 |$:

$$\langle \psi_k^0 | H | \psi^1 \rangle + \langle \psi_k^0 | H^1 | \psi^0 \rangle = E^0 \langle \psi_k^0 | \psi^1 \rangle + E^1 \langle \psi_k^0 | \psi^0 \rangle$$

$$H \text{ is Hermitian: } \langle \psi_k^0 | H = \langle H \psi_k^0 | \\ = E^0 \langle \psi_k^0 |$$

But $\psi^0 = \sum_j \alpha_j \psi_j^0$, then:

$$\langle \psi_k^0 | H^1 | \psi^0 \rangle = \sum_j \alpha_j \langle \psi_k^0 | H^1 | \psi_j^0 \rangle$$

$$E^1 \langle \psi_k^0 | \psi^0 \rangle = \sum_j \alpha_j E^1 \langle \psi_k^0 | \psi_j^0 \rangle = \sum_j \alpha_j E^1 \delta_{kj} = E^1 \alpha_k$$

This is a matrix eigenvalue problem!

$$\vec{\alpha} = \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_p \end{pmatrix}$$

$$\begin{array}{cc} \text{matrix} & \text{number} \\ \downarrow & \downarrow \\ \Rightarrow W \vec{\alpha} = E^1 \vec{\alpha} \end{array}$$

$W = p \times p$ matrix

$$W_{jk} = \langle \psi_j^0 | H^1 | \psi_k^0 \rangle$$

in general, W will have p eigenvalues and p eigenvectors.

What do we get by solving the eigenvector problem (note: this is really a linear algebra problem!)

$$W\vec{\alpha} = E^1 \vec{\alpha} \quad ?$$

In general, a $p \times p$ matrix will have p eigenvalues, and p corresponding eigenvectors.

Each eigenvector corresponds to a linear combination of ψ_i^0 and its corresponding eigenvalue E^1 is the first-order correction energy to the unperturbed energy E^0 . I started with p independent wavefunctions ψ_i^0 , each of which satisfies:

$$H^0 \psi_i^0 = E^0 \psi_i^0$$

zeroth order

Therefore, also each combination of ψ_i^0 satisfies the same equation for free:

$$H^0 \psi^0 = E^0 \psi^0 \quad \text{where} \quad \psi^0 = \sum_i \alpha_i \psi_i^0$$

If $\vec{\alpha}_{(s)}$ is an eigenvector of the matrix W , with eigenvalue $E_{(s)}^1$,

we have that:

$s = \text{index summing over eigenvectors of } W$

$$E_{(s)}^1 = \langle \psi_{(s)}^0 | H^1 | \psi_{(s)}^0 \rangle, \quad \text{i.e.,} \quad \sum_{(s)} \alpha_{(s)} \psi_i^0 \quad \text{is the special combination I was looking for}$$

remember: $\langle \psi_i^0 | \psi_j^0 \rangle = \delta_{ij}$ and $\sum_i \alpha_i^* \alpha_i = 1$: ψ^0 is normalized

Proof that $\psi_{(s)}^0$ is eigenstate of H^1 (and therefore of $H = H^0 + H^1$):

$$\underline{H^1 \psi_{(s)}^0} = \sum_i |\psi_i^0\rangle \langle \psi_i^0 | H^1 | \psi_{(s)}^0 \rangle = \sum_i \sum_j |\psi_i^0\rangle \langle \psi_i^0 | H^1 | \psi_j^0 \rangle \alpha_{j(s)}$$

$|\psi_i^0\rangle$ are complete orthonormal
 s.t.: $\sum_i |\psi_i^0\rangle \langle \psi_i^0| = \mathbb{1}$: identity operator

$$= \sum_i |\psi_i^0\rangle \underbrace{\sum_j W_{ij} \alpha_{j(s)}}_{E_{(s)}^1 \alpha_{i(s)}} = \sum_i E_{(s)}^1 \alpha_{i(s)} |\psi_i^0\rangle = \underline{\underline{E_{(s)}^1 |\psi^0\rangle}}$$